

**JEFFERSON COUNTY, ALABAMA  
2013 ONE-YEAR ACTION PLAN**

**October 1, 2013 – September 30, 2014  
Amendment #2**

Submitted 4/29/16

**JEFFERSON COUNTY COMMISSION**



**James A. "Jimmie" Stephens, President  
Sandra Little-Brown, President Pro Tempore  
George F. Bowman  
W.D. Carrington  
T. Joe Knight**

**JEFFERSON COUNTY OFFICE OF COMMUNITY & ECONOMIC  
DEVELOPMENT**

**Frederick L. Hamilton, Director**

**Distributed February 18, 2016 for public review and comment until 5:00 pm, March  
21, 2016.**

**Application for Federal Assistance SF-424**

**\* 1. Type of Submission:**

- Preapplication  
 Application  
 Changed/Corrected Application

**\* 2. Type of Application:**

- New  
 Continuation  
 Revision

**\* If Revision, select appropriate letter(s):**

**\* Other (Specify):**

**\* 3. Date Received:**

**4. Applicant Identifier:**

B-13-UC-01-0001

**5a. Federal Entity Identifier:**

**5b. Federal Award Identifier:**

**State Use Only:**

**6. Date Received by State:**

**7. State Application Identifier:**

**8. APPLICANT INFORMATION:**

**\* a. Legal Name:**

Jefferson County, Alabama

**\* b. Employer/Taxpayer Identification Number (EIN/TIN):**

63-6001579

**\* c. Organizational DUNS:**

1054742790000

**d. Address:**

**\* Street1:**

716 Richard Arrington Jr Blvd N, Ste. A-430

**Street2:**

**\* City:**

Birmingham

**County/Parish:**

Jefferson

**\* State:**

AL: Alabama

**Province:**

**\* Country:**

USA: UNITED STATES

**\* Zip / Postal Code:**

35203-0100

**e. Organizational Unit:**

**Department Name:**

Community & Economic Dev.

**Division Name:**

**f. Name and contact information of person to be contacted on matters involving this application:**

**Prefix:**

Dr.

**\* First Name:**

Frederick

**Middle Name:**

L.

**\* Last Name:**

HAMILTON

**Suffix:**

Ph.D

**Title:**

Director

**Organizational Affiliation:**

Jefferson County Commission

**\* Telephone Number:**

205-325-5761

**Fax Number:**

205-325-5095

**\* Email:**

hamiltonf@jccal.org

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

U.S. Dept. of Housing & Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.218

CFDA Title:

Community Development Block Grants/Entitlement Grants

**\* 12. Funding Opportunity Number:**

\* Title:

Community Development Block Grant

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

Eligible activities through out the Jefferson County Consortium and unincorporated Jefferson County to be funded from the Community Development Block Grant.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

\* a. Start Date:

\* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="1,766,008.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="102,557.58"/>
* g. TOTAL	<input type="text" value="1,868,565.58"/>

\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes  No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:  \* First Name:

Middle Name:

\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative: 

\* Date Signed:

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

  
Signature/Authorized Official

Date

## Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) \_\_\_\_\_, \_\_\_\_\_ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

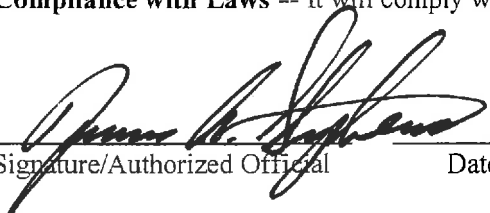
jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

  
\_\_\_\_\_  
Signature/Authorized Official                      Date

President, Jefferson County Commission  
Title

**OPTIONAL CERTIFICATION  
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

  
\_\_\_\_\_  
Signature/Authorized Official                      Date

President, Jefferson County Commission  
Title



### Specific HOME Certifications

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

  
\_\_\_\_\_  
Signature/Authorized Official                      Date

President, Jefferson County Commission  
Title

## ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

**Major rehabilitation/conversion** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

**Matching Funds** – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

**Discharge Policy** – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
Signature/Authorized Official

\_\_\_\_\_  
Date

President, Jefferson County Commission  
Title

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**RESOLUTION  
AMENDING COMMUNITY DEVELOPMENT PROGRAMS**

**WHEREAS**, the Housing and Community Development Act of 1974, as amended, provides that an Urban County may make application to the U.S. Department of Housing and Urban Development for Community Development Block Grants; and

**WHEREAS**, the U.S. Department of Housing and Urban Development (HUD) has accepted Jefferson County and municipal consortium members located therein, as a fully qualified Urban County for Community Development Block Grants, Emergency Shelter Grants, Emergency Solutions Grants, and the HOME Program; and

**WHEREAS**, Jefferson County desires to amend its Community Development Statement of Objectives and Projected Use of Funds for the Program Years 2011 through 2015 of its Five-Year Consolidated Plans and One-Year Action Plans to allow for those changes described in the attached pages, those pages being a part of this resolution; and

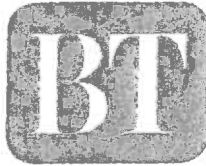
**WHEREAS**, Jefferson County has held a public hearing in accordance with HUD regulations and its Citizen Participation Plan and has actively solicited the participation of municipal authorities and citizens throughout the County, and that said amendment has been prepared in a manner that meets or exceeds all known federal, state, and local requirements and regulations;

**NOW, THEREFORE, BE IT RESOLVED** by the County Commission of Jefferson County, Alabama, that:

1. The Jefferson County Community Development Programs One-Year Action Plans for the years 2011 through 2015, is hereby amended as said above; and
2. The President of the County Commission is authorized and hereby directed to execute, sign all applications forms and certifications and submit to the U.S. Department of Housing and Urban Development (HUD) the attached Amended Statements of Community Development Objectives and Projected Use of Funds and the Amended Consolidated Action Plan for Community Development programs.

Adopted:

**APPROVED BY THE  
JEFFERSON COUNTY COMMISSION**  
DATE: 4-7-16  
MINUTE BOOK: 169  
PAGE(S): 450-451



**THE BIRMINGHAM TIMES**

115 3rd Avenue West • Birmingham, AL 35204  
(205) 251-5158

**AFFIDAVIT OF PUBLICATION**

**Samuel P. Martin, President/Publisher**

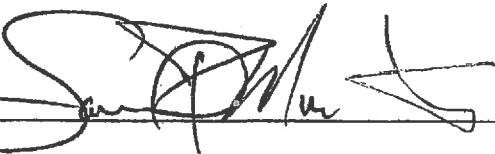
***Birmingham Times Media Group***

Agents for the State of Alabama, County of Jefferson

On this 18<sup>th</sup> day of February, 2016

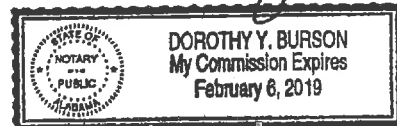
Samuel P. Martin appeared before me, Dorothy Y. Burson, Notary Public, in and for the County and State, who being duly sworn according to law, declares that he is the President/Publisher of *Birmingham Times Media Group* Publication, in the City of Birmingham, County of Jefferson, State of Alabama, that the advertisement, a true copy of which, is herewith attached, appeared in *The Birmingham Times* on the following dates:

1. 2/18/16
- 2.
- 3.
- 4.

Signed: 

Subscribed and sworn to me, Dorothy Y. Burson, on this 18<sup>th</sup> day of February, 2016.

My commission expires:



  
**Notary Public**

**PUBLIC NOTICE**

Jefferson County, Alabama  
Office of Community & Economic  
Development  
Amended Final Statement of  
Community Development Objectives  
and Projected Use of Funds

Program Years 2011 through 2015

Jefferson County, Alabama, and a consortium of municipalities located within the County (excluding Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo, and Helena), received from the U.S. Department of Housing and Urban Development annual grants for the 2011 through 2015 Program Years from the Consolidated Plan Annual Action Plans. These are the 37th through the 41st years of the Consolidated Plan Annual Action Plans that may include the Community Development Block Grant Program (CDBG), The Emergency Shelter Grant Program (ESG), Community Development Block Grant - Disaster Recovery (CDBG-DR), The Emergency Solutions Grant (ESG) and the HOME Program (HOME).

Pursuant to the requirements of the Housing and Community Development Act of 1974, as amended, Jefferson County, Alabama is hereby publishing the Final Amended Statement of Objectives and Projected Use of Funds for the 2010 Five-Year Consolidated Plan and the 2015 Five-Year Consolidated Plan and these years of the Program (October 1, 2011 to September 30, 2016). This Final Amended Statement will be developed after receiving citizen comments and views at a public hearing to be held on March 8, 2016 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development 716 Richard Arrington Jr. Blvd. North, Suite A-420, Birmingham, Alabama.

Special accommodations are available, upon request, for those with disabilities and for those with limited English proficiency. Those requiring special accommodations or questions regarding the Plan, should call 325-5761 in advance for assistance.

**AVAILABILITY OF THE PROPOSED AMENDMENTS**

Copies of amendments and summaries may be reviewed at the Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr. Blvd. North - Suite A430, Birmingham, AL 35203, by calling 325-5761, or online at <http://jeffcoonline.jccal.org/comdev/main/non-housing.html>. Arrangements can also be made to meet the Special-Needs Requirements of those with disabilities. Those in this category should contact the Office of Community Development at the above address and telephone number. Copies may also be viewed at the following Public-Libraries:

Adamsville Public Library  
Fairfield Public Library  
1984 Forestdale Blvd.  
4615 Gary Avenue  
Adamsville, AL 35214  
Fairfield, AL 35064

Fultondale Public Library  
Homewood Public Library  
1015 Walker Chapel Road  
1721 Oxmoor Road  
Fultondale, AL 35071  
Homewood, AL 35209

Irondale Public Library  
Leeds Public Library  
105 20th Street, South  
802 Parkway Dr., SE  
Irondale, AL 35210  
Leeds, AL 35094

Midfield Public Library  
Trussville Public Library  
400 Breland Drive  
201 Parkway  
Midfield, AL 35228  
Trussville, AL 35173

Tarrant Public Library  
Warrior Public Library  
1143 Ford Avenue  
10 First Street  
Tarrant, AL 35217  
Warrior, AL 35180

Hueytown Public Library  
1372 Hueytown Road  
Hueytown, AL 35023

Comments must be submitted prior to 5:00 p.m. on March 21, 2016. Written comments must be submitted to the following.

Jefferson County Office of Community & Economic Development  
716 Richard Arrington Jr Blvd N, Ste. A-430  
Birmingham, AL 35203  
Attn: Amendment Comments

a) The Final Amended Use of Funds stated herein has been developed so as to give maximum feasible priority to activities which will principally benefit low and moderate income persons and families.

The purpose of these Amendments is to delete several activities and to reprogram unexpended funds to other activities in these program years.

James A. "Jimmie" Stephens,  
President  
Jefferson County Commission  
BT 02/18/2016

# Birmingham News

## LEGAL AFFIDAVIT

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JEFFERSON COUNTY COMMUNITY ECONOMIC  
DEVELOPMENT  
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BIRMINGHAM, AL 35203

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Date	Position	Description	P.O. Number	Ad Size	Total Cost
02/19/2016	Legals AL	PUBLIC NOTICE Jefferson County, Alabama Office of Community & Economic Development PUBLIC NOTICE	2000077116	1 x 123 L	338.25

Stephanie Hardin being sworn, says that she is bookkeeper of Birmingham News which publishes a newspaper in the City of Birmingham and County of Jefferson, State of Alabama: and attached notice appeared in the issue of

Birmingham News 02/19/2016

*Stephanie Hardin*  
Sworn to and subscribed before me this 19th day of February 2016

Jefferson County, Alabama  
Office of Community & Economic Development  
Amended Final Statement of Community Development Objectives and Projected Use of Funds Program: Years 2011 through 2015

Jefferson County, Alabama, and a consortium of municipalities located within the County (excluding Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo, and Helena), received from the U.S. Department of Housing and Urban Development annual grants for the 2011 through 2015 Program Years from the Consolidated Plan Annual Action Plans. These are the 37th through the 41st years of the Consolidated Plan Annual Action Plans that may include the Community Development Block Grant Program (CDBG), The Emergency Shelter Grant Program (ESG), Community Development Block Grant - Disaster Recovery (CDBG-DR), The Emergency Solutions Grant (ESG) and the HOME Program (HOME).

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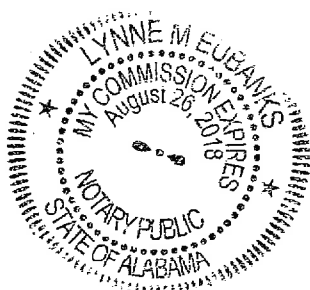
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Fairfield, AL 35064

Fultondale Public Library  
1015 Walker Chapel Road  
Fultondale, AL 35071

*Lynne M. Eubanks*  
NOTARY PUBLIC

FOR QUESTIONS CONCERNING THIS AFFIDAVIT,  
PLEASE CALL STEPHANIE HARDIN AT (251) 219-5405.  
YOU CAN PLACE A LEGAL NOTICE BY EMAIL OR FAX:  
LEGALS@AL.COM OR FAX# (205) 320-3550





Homewood Public Library  
1721 Oxmoor Road  
Homewood, AL 35209

Hueytown Public Library  
1372 Hueytown Road  
Hueytown, AL 35023

Irondale Public Library  
105 20th Street, South  
Irondale, AL 35210

Leeds Public Library  
802 Parkway Dr., SE  
Leeds, AL 35094

Midfield Public Library  
400 Breland Drive  
Midfield, AL 35228

Tarrant Public Library  
1143 Ford Avenue  
Tarrant, AL 35217

Trussville Public Library  
201 Parkway  
Trussville, AL 35173

Warrior Public Library  
10 First Street  
Warrior, AL 35180

Comments must be submitted prior to 5:00  
p.m. on March 21, 2016. Written comments  
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Jefferson County Office of Community &  
Economic Development  
716 Richard Arrington Jr Blvd N, Ste. A-430

Birmingham, AL 35205  
Attn: Amendment Comments  
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James A. "Jimmie" Stephens, President  
Jefferson County Commission

Bham News: February 19, 2016

JEFFERSON COUNTY  
OFFICE OF COMMUNITY DEVELOPMENT

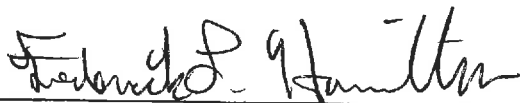
AMENDED ONE-YEAR ACTION PLAN FOR Program Years 2011-2015

CERTIFICATION

The Jefferson County Office of Community Development advertised the Final Amended One-Year Action Plan for Program Years 2011-2015 in the Birmingham News on February 19, 2016 and the Birmingham Times on February 20, 2016 advertisements are enclosed.

The Jefferson County Office of Community Development scheduled a public hearing at the office (716 Richard Arrington Jr. Blvd North – Suite A-420) on March 8, 2016 at 2:00 p.m. We did not have any citizens to attend the public hearing. Also, copies of the executive summaries were available in our office upon request and at 11 different public libraries located throughout Jefferson County.

The Jefferson County Office of Community & Economic Development did not receive any comments regarding the amendment.



Frederick L. Hamilton, Director

March 11, 2016

DATE

**BASIC GRANT 2013 - 2014**

BASIC GRANT 2013 - 2014	<u>Original</u>	<u>Amend. #1</u>	<u>Amend. #2</u>	<u>Change</u>
Program Income	1,766,008.00	1,766,008.00	1,766,008.00	0.00
RLF Income	5,000.00	19,839.02	19,839.02	0.00
	0.00	0.00	82,718.56	82,718.56
	<u>1,771,008.00</u>	<u>1,785,847.02</u>	<u>1,868,565.58</u>	<u>82,718.56</u>

**Public Facilities  
Neighborhood Facilities & Infrastructure**

Public Facilities	540,000.00	362,000.00	362,000.00	0.00
Neighborhood Facilities & Infrastructure	350,000.00	503,000.00	503,000.00	0.00
Public Services	35,000.00	35,000.00	35,000.00	0.00
Housing	500,000.00	539,839.02	539,839.02	0.00
Economic Development	0.00	0.00	82,718.56	82,718.56
Planning & Program Admin	346,008.00	346,008.00	346,008.00	0.00
Contingency	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
	<u>1,771,008.00</u>	<u>1,785,847.02</u>	<u>1,868,565.58</u>	<u>82,718.56</u>

	<u>ORIGINAL</u>	<u>Amend. #1</u>	<u>Amend. #2</u>	<u>Change</u>
<b>Public Facilities</b>	180,000.00	181,000.00	181,000.00	0.00
Brighton Mini Park	180,000.00	181,000.00	181,000.00	0.00
New Castle Park	<u>180,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
North Johns Fire Station	540,000.00	362,000.00	362,000.00	0.00

**Infrastructure Improvements**

Rosedale Sidewalks III	180,000.00	181,000.00	181,000.00	0.00
West Highland Water	0.00	151,000.00	151,000.00	0.00
Fairfield Sidewalks	<u>170,000.00</u>	<u>171,000.00</u>	<u>171,000.00</u>	<u>0.00</u>
	350,000.00	503,000.00	503,000.00	0.00

**Public Services**

Fair Housing Counseling	25,000.00	25,000.00	25,000.00	0.00
The People Development Center	<u>10,000.00</u>	<u>10,000.00</u>	<u>10,000.00</u>	<u>0.00</u>
	35,000.00	35,000.00	35,000.00	0.00

**Housing**

Program Delivery	100,000.00	110,000.00	110,000.00	0.00
Emergency Housing Grant	<u>400,000.00</u>	<u>429,839.02</u>	<u>429,839.02</u>	<u>0.00</u>
	500,000.00	539,839.02	539,839.02	0.00

**Economic Development**

<del>Ec. Dev. Business Activity</del>	<u>0.00</u>	<u>0.00</u>	<u>82,718.56</u>	<u>82,718.56</u>
	0.00	0.00	82,718.56	82,718.56

**Planning & Program Admin**

General Admin.	346,008.00	346,008.00	346,008.00	0.00
	<u>346,008.00</u>	<u>346,008.00</u>	<u>346,008.00</u>	<u>0.00</u>

**Contingency**

	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
	0.00	0.00	0.00	0.00
	<u>1,771,008.00</u>	<u>1,785,847.02</u>	<u>1,868,565.58</u>	<u>82,718.56</u>

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**Executive Summary**  
Jefferson County, Alabama  
Office of Community & Economic Development  
Amended Final Statement of Community Development Objectives and Projected Use of  
Funds

Program Years 2011 through 2015

Jefferson County, Alabama, and a consortium of municipalities located within the County (excluding Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo, and Helena), received from the U.S. Department of Housing and Urban Development annual grants for the 2011 through 2015 Program Years from the Annual Action Plans of the Five-Year Consolidated Plans. These are the 37<sup>th</sup> through the 41<sup>st</sup> years of the Consolidated Plan Annual Action Plans that include the Community Development Block Grant Program (CDBG), The Emergency Shelter/Emergency Solutions Grant Program (ESG), and the HOME Program (HOME).

Pursuant to the requirements of the Housing and Community Development Act of 1974, as amended, Jefferson County, Alabama is hereby publishing the Final Amended Statement of Objectives and Projected Use of Funds for these years of the Program (October 1, 2011 to September 30, 2016). This Final Amended Statement was developed after receiving citizen comments and views at a public hearing held on March 8, 2016 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development 716 Richard Arrington Jr. Blvd. North, Suite A-430, Birmingham, Alabama.

Special accommodations were made available, upon request, for those with disabilities and those with limited English proficiency. Those requiring special accommodations or questions regarding the Plan, were advised to call 325-5761 in advance for assistance.

**AVAILABILITY OF THE PROPOSED AMENDMENTS**

This is a summary of the amendments for Community Development Programs. Copies of this summary were also available for review at the Jefferson County Office of Community Development, 716 Richard Arrington Jr. Blvd. North – Suite A430, Birmingham, AL 35203, or by calling 325-5761. Arrangements were made available to meet the Special Needs Requirements of those with disabilities as well as those with limited English proficiency. Those in this category were also advised to contact the Office of Community Development at the above address and telephone number. Copies were available for review at the following Public Libraries:

Adamsville Public Library  
4825 Main Street  
Adamsville, AL 35005

Fairfield Public Library  
4615 Gary Avenue  
Fairfield, AL 35064

Fultondale Public Library  
500 Byrd Lane  
Fultondale, AL 35068

Homewood Public Library  
1721 Oxmoor Road  
Homewood, AL 35209

Irondale Public Library  
105 20th Street, South  
Irondale, AL 35210

Leeds Public Library  
8104 Parkway Drive  
Leeds, AL 35094

Midfield Public Library  
400 Breland Drive  
Midfield, AL 35228

Trussville Public Library  
201 Parkway Drive  
Trussville, AL 35173

Tarrant Public Library  
1143 Ford Avenue  
Tarrant, AL 35217

Warrior Public Library  
10 First Street East  
Warrior, AL 35180

Hueytown Public Library  
1372 Hueytown Road  
Hueytown, AL 35023

Comments could have been submitted prior to 5:00 p.m. on March 21, 2016. Written comments should have been submitted to the following:

Jefferson County Office of Community & Economic Development  
716 Richard Arrington Jr Blvd N, Ste. A-430  
Birmingham, AL 35203  
Attn: Amendment Comments

The Final Amended Use of Funds stated herein has been developed so as to give maximum feasible priority to activities which will principally benefit low and moderate income persons and families. In addition, the projected use of funds is consistent with Jefferson County's goal of:

- a) Stabilizing low and moderate income neighborhoods located in consortium cities and unincorporated Jefferson County;
- b) Providing safe and affordable housing;
- c) Providing jobs for low and moderate income persons and families; and
- d) Improving the economic life in Jefferson County by encouraging business development.

The purpose of this Amendment is to delete several activities and to reprogram unexpended funds to other activities in these program years. The following are the activities to be changed:

**PROGRAM YEAR 2011 (37<sup>TH</sup>)**

**CDBG**

Reduce Funding in the Following Activity:

Fairfield Library Improvements from \$200,000.00 to \$166,619.34

Add the Following Activity:

Tarrant Water Improvements, \$33,380.66

**PROGRAM YEAR 2012 (38<sup>th</sup>)**

**CDBG**

Reduce Funding in the Following Activities:

Fairfield Willie Mays Park Improvements from \$160,000.00 to \$155,000.00

Clay Senior Center Improvements from \$160,000.00 to \$130,500.00

Rosedale Sidewalks Phase II from \$160,000.00 to \$110,000.00

Add the Following Activity:

West Highland Waterline Improvements, \$84,500.00

**PROGRAM YEAR 2013 (39<sup>th</sup>)**

**CDBG**

Add the Following Activity:

Economic Development Business Activity from RLF Income, \$82,718.56

**PROGRAM YEAR 2014 (40<sup>th</sup>)**

**CDBG**

Reduce Funding in the Following Activity:

Martintown Senior Center from \$177,000.00 to \$102,000.00

Increase Funding in the Following Activities:

Midfield Park Improvements from \$177,000.00 to \$252,000.00

Emergency Housing Grant from \$400,000.00 to \$402,650.47

**HOME**

Reduce Funding in the Following Activity:

CHDO Activities from \$319,634.00 to \$94,939.00

Delete the Following Activities:

CHDO Admin, \$25,000.00

Special Needs Rental Housing, \$450,000.00

Add the Following Activity:

Homebuyer Assistance Program, \$699,695.00

**ESG**

Reduce Funding in the Following Activities:

Rapid Re-Housing from \$27,978.00 to \$5,400.00

Add the Following Activity:

Homelessness Prevention, \$22,578.00

**PROGRAM YEAR 2015 (41st)**

**CDBG**

Delete the Following Activity:

Jett Road Water, \$168,911.00

Add the Following Activity:

Tarrant Water, \$168,911.00

**ESG**

Delete the Following Activity:

Street Outreach, \$5,000.00

Add the Following Activities:

Rapid Re-Housing - Tenant Based Rental Assistance, \$4,000.00

Rapid Re-Housing - Housing Relocation & Stabilization, \$6,000.00



# Fourth Program Year Action Plan Amendment #2

The CPMP Fourth Annual Action Plan includes the SF 424 and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

## Narrative Responses

### GENERAL

#### Executive Summary

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Program Year 4 Action Plan Executive Summary:

EXECUTIVE SUMMARY  
JEFFERSON COUNTY CONSORTIUM  
PROPOSED AMENDMENT #2 TO THE 2013-14 ACTION PLAN SUMMARY FOR  
COMMUNITY DEVELOPMENT PROGRAMS

#### INTRODUCTION

The United States Department of Housing and Urban Development requires that in order to receive funding under the Community Development Block Grant Program (CDBG), the Home Investment Partnerships Program (HOME), the Emergency Solutions Grant Program (ESG), and the Housing Opportunities for Persons with AIDS Program (HOPWA), each entitlement city or urban county must prepare a Consolidated Plan. This Plan was prepared in the year 2010. The Plan identifies housing and non-housing needs over a five year period, assigns priorities to each of the needs and a strategy for meeting those needs, and sets out a one year Action Plan establishing funding. The overall goal of the community development programs is to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities, principally for low- and moderate-income persons.

The Jefferson County Consortium is made up of the unincorporated areas of Jefferson County, Alabama and 31 municipalities within the County. The Cities of Birmingham, Bessemer and Hoover, County Line, Sumiton, Argo, and Helena are the only cities in the County not participating in the Consortium. Birmingham, Bessemer and Hoover are entitlement cities themselves. The 2013 Action Plan was developed in cooperation with these cities and with the assistance of area agencies and the public. The final Amended Projected Use of Funds will be developed after receiving citizen comments and views at a public hearing to be held on March 8, 2016 at 2:00 pm at the Jefferson County Office of Community Development, 716 Richard Arrington Jr.



Blvd. North – Suite A420 (Downtown Birmingham). Comments regarding this proposed amendment must be submitted prior to 5:00 PM on March 21, 2016. Written comments should be submitted to the following:

Jefferson County Office of Community & Economic Development  
716 Richard Arrington Jr Blvd N, Ste. A-430  
Birmingham, AL 35203  
Attn: Proposed Amended 2013 One-Year Action Plan

Special accommodations are available, upon request, for those with disabilities and those with limited English proficiency. Those requiring special accommodations or questions regarding the Plan, should call 325-5761 in advance for assistance.

#### AVAILABILITY OF THE PROPOSED AMENDED 2013 ACTION PLAN

This is a summary of the proposed Amended 2013 Action Plan for Community Development Programs. A complete copy of the plan may be reviewed at the Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr. Blvd. North – Suite A430, Birmingham, AL 35203, or by calling 325-5761. Arrangements can also be made to meet the Special Needs Requirements of those with disabilities and those with limited English proficiency. Those in this category should contact the Office of Community & Economic Development at the above address and telephone number. Copies of the proposed Amended 2013 One-Year Action Plan may also be viewed at the following Public Libraries:

Adamsville Public Library  
4825 Main Street  
Adamsville, AL 35005

Fairfield Public Library  
4615 Gary Avenue  
Fairfield, AL 35064

Fultondale Public Library  
1015 Walker Chapel Road  
Fultondale, AL 35071

Homewood Public Library  
1721 Oxmoor Road  
Homewood, AL 35209

Irondale Public Library  
105 20th Street, South  
Irondale, AL 35210

Leeds Public Library  
8104 Parkway Drive  
Leeds, AL 35094

Midfield Public Library  
400 Breland Drive  
Midfield, AL 35228

Trussville Public Library  
201 Parkway  
Trussville, AL 35173

Tarrant Public Library  
1143 Ford Avenue  
Tarrant, AL 35217

Warrior Public Library  
10 First Street  
Warrior, AL 35180

Hueytown Public Library  
1372 Hueytown Road  
Hueytown, AL 35023

PROPOSED AMENDED 2013 ONE-YEAR ACTION PLAN SUMMARY

The Jefferson County Consortium Proposed One Year Action Plan for the 2013-2014 Program Year outlines the proposed projects to be undertaken by the County with funds from the Community Development Block Grant Program (CDBG), the Home Investment Partnerships Program (HOME), and the Emergency Solutions Grant Program (ESG). Outlined below are the projects listed by housing and non-housing categories.

Jefferson County expects to receive \$1,766,008.00 in CDBG funds, \$19,839.02 in CDBG program income; \$587,213.00 in HOME funds, \$129,623 in County match (In-Kind), and \$100,000.00 in HOME program income; \$119,773.00 in federal ESG funds, and \$119,773.00 in ESG Match funds, making a total of \$2,842,229.02 in funds available for Program Year 2013-14.

Housing programs will receive \$539,839.02 of the total CDBG funds available for the Emergency Housing Rehabilitation Grant Program and Program Delivery.

Non-housing programs to be funded under the CDBG program include economic development programs, public services, public facilities and infrastructure improvements as well as planning and administration costs. The expenditures on these programs are as follows:

Public Facilities	\$865,000.00
Public Services	\$35,000.00
Program Administration	\$346,008.00

Homeless Assistance Programs: Jefferson County anticipates receiving \$119,773.00 in Federal ESG funds, plus \$119,773.00 provided by the sub-recipients as match for a total of \$239,546.00 to benefit the homeless and those at risk of homelessness.

HOME Program: Jefferson County anticipates receiving approximately \$587,213.00 in HOME funds for the Program Year beginning October 1, 2013. This figure will be matched by a projected \$129,623.00 in-kind, projected program income of \$100,000.00, for a total of \$816,836.00. These funds are proposed for use in the Special Needs Housing Program.

HOUSING ACTIVITIES TO BE UNDERTAKEN

The following housing programs will be undertaken with funds from the Community Development Block Grant Program and the HOME Program:

	HOME	MATCH
Administration	\$67,523.00	-0-
Special Needs	\$619,690.00	\$129,623.00

CDBG	
Emergency Housing Rehabilitation Grant Program	\$429,839.02

## HOMELESS ASSISTANCE ACTIVITIES TO BE UNDERTAKEN

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act), enacted into law on May 20, 2009, consolidates three of the separate homeless assistance programs administered by HUD under the McKinney-Vento Homeless Assistance Act into a single grant program, and revises the Emergency Shelter Grants program and renames it as the Emergency Solutions Grant (ESG) program. The HEARTH Act also codifies into law the Continuum of Care planning process, a longstanding part of HUD's application process to assist homeless persons by providing greater coordination in responding to their needs. The Emergency Solutions Grant focuses on assisting people to quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. The Emergency Solutions Grant serves HUD's definition of those who are homeless and those who are at risk of homelessness.

### **PROPOSED ACTIVITIES:**

Jefferson County expects to receive \$119,773 in federal ESG funds, and \$119,773.00 in ESG Match funds. Jefferson County also plans to apply to the State of Alabama for additional Emergency Solutions Grant funds.

### **HOMELESS**

The ESG components serving those who are homeless are 1) street outreach, 2) emergency shelter and 3) rapid re-housing with data collected in the Homeless Management Information System (HMIS).

#### **1. Street Outreach** – Serves unsheltered homeless persons

Essential Services include street outreach services for:

- ✓ Engagement;
- ✓ Case Management;
- ✓ Emergency Health and Mental Health Services;
- ✓ Transportation; and
- ✓ Services for Special Populations: Homeless Youth, Homeless Persons with HIV/AIDS, and Homeless Victims of Domestic Violence, Sexual Violence, and Stalking.

#### **2. Emergency Shelter** – Serves people staying in emergency shelters

Essential Services include:

- ✓ Case Management;
- ✓ Child Care, Education, Employment, and Life Skills Services;
- ✓ Legal Services;
- ✓ Health, Mental Health, and Substance Abuse Services;
- ✓ Transportation; and
- ✓ Services for Special Populations.

Shelter Activities include:

- ✓ Renovation (including major rehab or conversion)
- ✓ Operations (e.g., maintenance, utilities, furniture, food)

- #### **3. Rapid Re-Housing** – Serves people who are literally homeless and can be used to help a homeless individual or family move into permanent housing and achieve housing stability.

Eligible Activities:

- ✓ Housing Relocation and Stabilization Services; and
- ✓ Short- Term Rental Assistance – up to 3 months
- ✓ Medium-Term Rental Assistance – 4 to 24 months.\*

\*Up to 24 months of rental assistance during any 3-year period, including one-time payment for up to 6 months of rent arrears on the tenant’s portion of the rent.

**Short/Medium-Term Rental Assistance Standards:**

- FMR limits
- Rent Reasonableness
- Minimum Habitability Standards
- Rental Assistance Agreement and Lease
- No rental assistance to a household receiving rental assistance from another public source for same time period (except a one-time payment of up to 6 months of arrears).

**HOMELESS PREVENTION**

The ESG component serving those who are at risk of homelessness is homeless prevention activities with data collected in the Homeless Management Information System (HMIS). Homeless Prevention activities are limited to Individuals and families with annual incomes below 30% AMI and are at risk of becoming homeless.

Eligible Activities:

- ✓ Housing Relocation and Stabilization Services; and
- ✓ Short-Term Rental Assistance – up to 3 months
- ✓ Medium-Term Rental Assistance – 4 to 24 months.

**Short/Medium-Term Rental Assistance Standards:**

- FMR limits
- Rent Reasonableness
- Minimum Habitability Standards
- Rental Assistance Agreement and Lease
- No rental assistance to a household receiving rental assistance from another public source for same time period (except a one-time payment of up to 6 months of arrears).

**HOMELESS PREVENTION & RAPID RE-HOUSING: HOUSING RELOCATION & STABILIZATION SERVICES**

The following are allowable activities for both Homelessness Prevention and Rapid Re-Housing: Financial Assistance & Housing Relocation & Stabilization Services. (See Table 3 for proposed allocations of each category below under Rapid Re-Housing and Homeless Prevention).

**Financial Assistance:\***

Moving Costs  
Rent Application Fees  
Security Deposit  
Last month’s rent  
Utility deposit  
Utility payments

**Services:**

Housing search/placement  
Housing stability case management  
Mediation and legal services  
Credit repair/budgeting/money management

\*No financial assistance to a household for a purpose and time period supported by another public source.

**HMIS** – Jefferson County proposes to allocate Emergency Solutions Grant to HMIS activities. Jefferson County and its sub-recipients will participate in the local Continuum of Care and utilize HMIS.

**Eligible Costs for recipients that are HMIS Lead Agencies:**

- ✓ Hosting and maintaining software or data
- ✓ Backing up, recovering, or repairing software or data
- ✓ Upgrading, customizing, and enhancing the HMIS
- ✓ Integrating and warehousing data
- ✓ Administering the HMIS
- ✓ Reporting
- ✓ Conducting training and using the HMIS

**Eligible Costs for Jefferson County and Sub-recipients:**

- ✓ Computer hardware, software, and software licenses
- ✓ Office space, utilities, and equipment
- ✓ Obtaining technical support
- ✓ Salaries for HMIS operation
- ✓ Staff travel (training and program participant intake)
- ✓ Participation fees charged by the HMIS Lead

**ADMINISTRATION** – Jefferson County’s total budget of Emergency Shelter Grant funds and Emergency Solutions Grant funds is expected to be \$119,773.00 of which up to 7.5% may be used for administrative costs. Therefore, Jefferson County plans to allocate \$8,982.89 in Federal Emergency Solutions Grant funds to Administrative activities.

**Eligible Administrative Costs:**

- ✓ Providing management, oversight, and coordination
- ✓ Monitoring programs and evaluating performance
- ✓ Training on ESG requirements
- ✓ Preparing ESG & homelessness-related sections of the Consolidated Plan
- ✓ Carrying out environmental review responsibilities

**CENTRALIZED/COORDINATED ASSESSMENT**

Jefferson County in utilizing phone lines, GMIS mapping, and HMIS data input and referrals originally established for HPRP, proposes to use a centralized/coordinated intake and implement the Emergency Solution Grant in coordination with the local Continuum of Care. Prior to beginning the program Jefferson County plans to meet with the Continuum in establishing a pre-screening intake form that can be used by each ESG grantee participating in the Continuum. The purpose of coordination is to promote consistent standards; avoid duplicative/unnecessary assistance; and promote strategic targeting of homeless assistance resources. This centralized intake process will allow initially assessments of the eligibility and needs of each individual or family seeking assistance.

NON-HOUSING COMMUNITY DEVELOPMENT PRIORITIES AND PROPOSED ACTIVITIES  
TO BE UNDERTAKEN

The following non-housing activities will be undertaken with funds from the Community Development Block Grant Program; all were determined to be high priority activities in the Consolidated Plan:

General Administration, \$346,008.00  
Housing Program Delivery, \$100,000.00

PUBLIC FACILITIES

Fairfield Sidewalk, \$171,000.00  
Brighton Mini Park, \$181,000.00  
North Johns Fire Station Improvements, \$181,000.00  
New Castle Park, \$181,000.00  
Rosedale Sidewalk, \$181,000.00

PUBLIC SERVICES

Fair Housing Counseling, \$25,000.00  
The People Development Center, \$10,000.00

**Evaluation of Past Performance**

In Program Year 2012, Jefferson County expected to receive \$1,641,778 in CDBG funds, \$12,000 in program income, \$175,000 in CDBG/EDA Revolving Loan Fund; \$586,731 in HOME funds, \$154,515 in County match (In-Kind), and \$100,000 in HOME program income; \$160,259 in federal Emergency Solutions Grant funds, and \$160,259 in Emergency Solutions Grant Match funds making a total of \$2,990,542 in funds available for Program Year 2012-13.

Out of the \$2,990,542 Jefferson County allocated \$1,428,778 in CDBG funds in the following non-housing categories: Public Facilities: \$800,000, Public Services: \$25,000, Economic Development: \$175,000, and Program Administration: \$428,778.

From the 2012 Program Year funds, Jefferson County allocated funds for 1 – senior citizens' center, 1 – sidewalk project, 2 – park projects, 1 – community center project, and 1 – public service program.

**General Questions**

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.
3. Describe actions that will take place during the next year to address obstacles to meeting underserved needs.

4. Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.

Program Year 4 Action Plan General Questions response:

1. Jefferson County is an Urban County as defined by HUD. The Urban County consists of the Jefferson County Community Development Consortium which includes all of Jefferson County outside of the cities of Birmingham, Bessemer, County Line, Hoover, Helena, Sumiton and Argo. All housing programs (CDBG & HOME) are defined as "direct beneficiary" activities where each beneficiary must be low/mod income. Concentrations of low and moderate income residents are outlined below. Based upon historical trends, these areas are where most of the housing beneficiaries may be found.

Year 2010 concentrations of LMI Households are incomplete. Available data is shown below.

**Year 2010 Partial Data Taken From HUD  
IDIS Website Data**

<b>TRACT</b>	<b>BLKGRP</b>	<b>LOWMODPCT</b>
010002	2	51.5
010401	5	65
010402	1	52.8
010500	1	60.4
010500	2	64.3
010602	1	72
010602	3	77
010602	5	59.1
010602	6	55.9
010900	3	52.1
010900	5	79
010900	6	63.3
010900	7	62.1
010900	9	71.8
011400	1	61.2
011500	2	53.3
012002	1	52.2
012002	2	58.4
012402	1	53.8
012403	2	82.3
012500	1	51.2
012500	2	59.1
012602	1	51.4
012602	2	60.1
013200	2	58.4

Jefferson County, Al.

013200	3	66.9
013300	1	68.2
013300	2	65.4
013300	3	74.2
013400	2	54.7
013601	1	56.7
013601	2	77.8
013601	3	63.2
013601	5	58.8
013801	1	61.3
013801	2	55.6
013901	1	56.2
014102	3	52.8
014104	2	53.8
014301	3	56.1

**Population Shifts in Jefferson County**

The Jefferson County Community Development Consortium has a 2000 Census population of 666,047 persons and a 2010 population of 656,468 persons for a change of -0.54%. In a Birmingham News article written by Jeff Hanson and dated March 28, 2011, "Dr. Rosie O'Beirne, an anthropologist with the University of Alabama at Birmingham said that Birmingham's pattern matches the national trend of increasing diversity in the suburbs." Dr. O'Beirne states that "it's really middle-class flight, not black flight or white flight". Dr. O'Beirne further states as follows:

"Historically blacks and whites have been segregated in Birmingham, and a lot of that had to do with racism. We're in an era where racial ideology doesn't have the same effect as it once did. You have a rising middle class population."

Of this group, 58.1% were white in 2000 and 53% were white in 2010 and in 2000 39.4% were black and in 2010 42% were black. For the purpose of the 2013 Annual Plan, 2010 census data can be used to show racial concentration in municipalities but said data remains unavailable for unincorporated areas. Areas of African-American concentrations are defined as tracts/block groups which have African-American populations of 20% or more. Hispanic concentrations, while relatively small, are also shown due to the significant increases which have taken place between 1990 and 2010.

The tables shown below were prepared by the Regional Planning Commission of Greater Birmingham using 2010 Census data and were taken from their web-site named <http://www.rpcgb.org/>. These tables show the population changes incorporated areas of Jefferson County and reflect the data reported by the Birmingham News. It further shows county data.



**Changes in Incorporated Areas 2000-2010  
for All Races**

Incorporated Area	2000 Census	2010 Census	# Change	% Change
	Population	Population		
Adamsville	4,965	4,522	-443	-8.92%
Bessemer	29,672	27,456	-2,216	-7.47%
Birmingham	242,820	212,237	-30,583	-12.59%
Brighton	3,640	2,945	-695	-19.09%
Brookside	1,393	1,363	-30	-2.15%
Cardiff	82	55	-27	-32.93%
Center Point*	0	16,921	16,921	100%
Clay*	0	9,708	9,708	100%
Fairfield	12,381	11,117	-1,264	-10.21%
Fultondale	6,595	8,380	1,785	27.07%
Gardendale	11,626	13,893	2,267	19.50%
Graysville	2,344	2,165	-179	-7.64%
Homewood	25,043	25,167	124	0.50%
Hoover	62,742	81,619	18,877	30.09%
Hueytown	15,364	16,105	741	4.82%
Irondale	9,813	12,349	2,536	25.84%
Kimberly	1,801	2,711	910	50.53%
Leeds	10,455	11,773	1,318	12.61%
Lipscomb	2,458	2,210	-248	-10.09%
Midfield	5,626	5,365	-261	-4.64%
Montevallo	4,825	6,323	1,498	31.05%
Morris	1,827	1,859	32	1.75%
Mountain Brook	20,604	20,413	-191	-0.93%
Mulga	973	836	-137	-14.08%
North Johns	142	145	3	2.11%
Pinson*	0	7,163	7,163	100%
Pleasant Grove	9,983	10,110	127	1.27%
Sylvan Springs	1,465	1,542	77	5.26%
Tarrant	7,022	6,397	-625	-8.90%
Trafford	523	646	123	23.52%
Trussville	12,924	19,933	7,009	54.23%
Vestavia Hills	24,476	34,033	9,557	39.05%
Warrior	3,169	3,176	7	0.22%
* denotes a new town.				

**Changes in Counties 2000-2010  
for All Races**

Incorporated Area	2000 Census	2010 Census	# Change	% Change
	Population	Population		
Blount County	51,024	57,322	6,298	12.34%
Chilton County	39,593	43,643	4,050	10.23%
Jefferson County	662,047	658,466	-3,581	-0.54%
St. Clair County	64,742	83,593	18,851	29.12%
Shelby County	143,293	195,085	51,792	36.14%
Walker County	70,713	67,023	-3,690	-0.00052
RPCGB Total	1,031,412	1,105,132	73,720	7.15%

The Birmingham News printed on February 25, 2011 an article entitled "2010 Census: Birmingham Shrinks, Hoover, Shelby Surge" written by Thomas Spencer of the Birmingham News. Using 2010 Census Bureau data the article listed the Fastest-Growing Cities in Jefferson and Shelby County counties as shown below. Please note that only three of these cities are within the Consortium (Trussville, Kimberly & Vestavia Hills) and Hoover, non-consortium city is located in both Jefferson and Shelby counties.

Rank	City	2010 Population	% Change From 2000
1	Calera	11,620	268.00
2	Chelsea	10,183	245.30
3	Helena	16,793	63.10
4	Trussville	19,933	54.20
5	Kimberly	2,711	50.50
6	Pelham	21,352	48.60
7	Vestavia Hills	34,033	39.00
8	Alabaster	30,352	34.20
9	Montevallo	6,323	31.00
10	Hoover	81,619	30.10

Using 2010 Census Data, the same article shows the "Fastest-Shrinking Cities in Jefferson and Shelby Counties" as shown below:

<b>Fastest-Shrinking Cities Jefferson and Shelby Counties, by Percentage</b>			
			<b>% Change</b>
<b>Rank</b>	<b>City</b>	<b>2010 Population</b>	<b>from 2000</b>
1	Cardiff	55	-32.9
2	Brighton	2,945	-19.1
3	Mulga	836	-14.1
4	Birmingham	212,237	-12.6
5	Maytown	385	-11.5
6	Fairfield	11,117	-10.2
7	Lipscomb	2,210	-10.1
8	Adamsville	4,522	-8.9
9	Tarrant	6,397	-8.9
10	Graysville	2,165	-7.6

Unlike the first table shown in the Birmingham News article, **9 of the Fastest-Shrinking Cities are in the Consortium** and remaining municipality is the City of Birmingham located primarily within Jefferson County.

**Minority Concentrations Within Jefferson County**

The African-American population of Jefferson County represents the largest minority group within Jefferson County representing 42% of the total population of Jefferson County in 2010 for an increase of 2.6%. While the 2010 data is currently unavailable for unincorporated areas, it is available for municipalities. The areas with the highest % of African-American populations are as follows:

<b>African-American Concentrations Incorporated Jefferson County &amp; Adjacent Jurisdictions for Year 2000 &amp; 2010 (does not include CDP data)</b>		
	<b>Yr. 2000 % of Total</b>	<b>Yr. 2010 % of Total</b>
<b>Birmingham</b>	73.50	73.4
<b>Bessemer</b>	69.60	71.2
<b>Adamsville</b>	22.8	44.9
<b>Brighton</b>	89.10	91
<b>Centerpoint</b>	NA	62.9
<b>Fairfield</b>	90.20	94.6
<b>Graysville</b>	23.10	23.6
<b>Hueytown</b>	15.50	27.2
<b>Irondale</b>	25.30	35.4
<b>Lipscomb</b>	65.60	61
<b>Midfield</b>	59.50	81.6
<b>Pleasant Grove</b>	14.40	44.8
<b>Tarrant</b>	18.7	52.3

**Alabama Census 2010 Data as found in <http://www.al.com.census/>.**

There has been a significant population shift within Jefferson County over the last ten years with African-Americans moving into suburban areas and away from the inner city.

**African-American and Caucasian Concentrations Within Jefferson County**

<b>African-American Population in Jefferson County &amp; Adjacent Jurisdictions for Year 2000 &amp; 2010</b>			<b>Caucasian Population in Jefferson County &amp; Adjacent Jurisdictions for Year 2000 &amp; 2010</b>		
	<b>Yr. 2000 % of Total</b>	<b>Yr. 2010 % of Total</b>		<b>Yr. 2000 % of Total</b>	<b>Yr. 2010 % of Total</b>
<b>Jefferson County</b>	39.40	42	<b>Jefferson County</b>	58.1	53
<b>Shelby County</b>	7.40	10.6	<b>Shelby County</b>	89.8	83
<b>Bibb County</b>	22.20	22	<b>Bibb County</b>	76.9	75.8
<b>Blount County</b>	1.20	1.3	<b>Blount County</b>	95.1	92.6
<b>St. Clair County</b>	8.10	8.6	<b>St. Clair County</b>	90	88.2
<b>Tuscaloosa County</b>	29.30	29.6	<b>Tuscaloosa County</b>	68.1	66.3
<b>Birmingham</b>	73.50	73.4	<b>Birmingham</b>	24.1	22.3
<b>Bessemer</b>	69.60	71.2	<b>Bessemer</b>	28.9	24.3
<b>Hoover</b>	6.80	14.8	<b>Hoover</b>	87.7	75.1
<b>Adamsville</b>	22.8	44.9	<b>Adamsville</b>	75.8	52.3
<b>Brighton</b>	89.10	91	<b>Brighton</b>	9	6.5
<b>Brookside</b>	8.70	18.5	<b>Brookside</b>	89.9	79.5
<b>Cardiff</b>	6.10		<b>Cardiff</b>	93.9	
<b>Centerpoint</b>	NA	62.9	<b>Centerpoint</b>	NA	32.6
<b>Fairfield</b>	90.20	94.6	<b>Fairfield</b>	8.9	4.2
<b>Fultondale</b>	5.30	16.6	<b>Fultondale</b>	91.6	75.1
<b>Gardendale</b>	1.50	8.6	<b>Gardendale</b>	97.2	89.4
<b>Graysville</b>	23.10	23.6	<b>Graysville</b>	75.3	73.9
<b>Homewood</b>	15.30	17.3	<b>Homewood</b>	79.8	74.6
<b>Hueytown</b>	15.50	27.2	<b>Hueytown</b>	83.5	70
<b>Irondale</b>	25.30	35.4	<b>Irondale</b>	71.7	56.3
<b>Kimberly</b>	1.60	1.8	<b>Kimberly</b>	81.2	96.2
<b>Leeds</b>	15.90	14.3	<b>Leeds</b>	81.2	78.7
<b>Lipscomb</b>	65.60	61	<b>Lipscomb</b>	32.5	22
<b>Midfield</b>	59.50	81.6	<b>Midfield</b>	39.3	16.4
<b>Morris</b>	0.50	1	<b>Morris</b>	98.1	97.7
<b>Mtn Brook</b>	0.30	1	<b>Mtn Brook</b>	98.1	97.2
<b>Mulga</b>	13.20	16.9	<b>Mulga</b>	85.1	81
<b>Pleasant Grove</b>	14.40	44.8	<b>Pleasant Grove</b>	84.5	53.7
<b>Tarrant</b>	18.7	52.3	<b>Tarrant</b>	78.5	39
<b>Trafford</b>	1	6.2	<b>Trafford</b>	98.5	92.7
<b>Trussville</b>	1.5	6.6	<b>Trussville</b>	96.4	90.3
<b>Vestavia Hills</b>	1.9	3.8	<b>Vestavia Hills</b>	93.5	90.4
<b>Warrior</b>	15.4	14.2	<b>Warrior</b>	83	83.1

## Hispanic Population Changes in Jefferson County from 1990-2010

The Hispanic population in Jefferson County rose from 2,754 in 1990 to 25,488 in 2010. While most jurisdictions have some Hispanic residents, the Consortium areas with the greatest concentrations (raw numbers) are Brighton, Lipscomb, Vestavia, Homewood, Irondale, Leeds, Tarrant and Fultondale. The areas with the highest percentage of Hispanic population are Brighton, Lipscomb and Fultondale. The Hispanic population within the City of Lipscomb is the highest percentage (19.73%) within the Consortium.

Incorporated Area & Counties	2010 Census Population	2010 Hispanic Population	2010 Hispanic % of Total
Jeff Co	658,466	25,488	3.87%
Shelby	195,085	11,567	5.93%
Blount	57,322	4,626	8.07%
St clair	83,593	1,716	2.05%
Adamsville	4,522	102	2.26%
Bessemer	27,456	1,113	4.05%
Birmingham	212,237	7,704	3.63%
Brighton	2,945	407	13.82%
Brookside	1,353	9	0.66%
Center Point*	16,921	806	4.76%
Clay*	9,708	127	1.31%
Fairfield	11,117	127	1.14%
Fultondale	8,380	909	10.85%
Gardendale	13,893	207	1.49%
Graysville	2,165	37	1.71%
Homewood	25,167	1,846	7.34%
Hoover	81,619	4,915	6.02%
Hueytown	16,105	321	1.99%
Irondale	12,349	961	7.78%
Kimberly	2,711	22	0.81%
Leeds	11,773	774	6.57%
Lipscomb	2,210	436	19.73%
Midfield	5,365	77	1.44%
Morris	1,859	20	1.08%
Mountain Brook	20,413	198	0.97%
Mulga	836	5	0.60%
North Johns	145		0.00%
Pinson*	7,163	267	3.73%
Pleasant Grove	10,110	57	0.56%
Tarrant	6,397	578	9.04%

Jefferson County, Al.

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Trafford	646	6	0.93%
Trussville	19,933	250	1.25%
Vestavia Hills	34,033	835	2.45%
Warrior	3,176	25	0.79%
* denotes a new town.			

**US Census 2010 Data as found in the AI for 2010 (original)**  
**US Census 2010 Data as found in <http://www.al.com.census/>.**

<b>Hispanic Population Changes in Jefferson County from 1990-2010</b>					
	1990	2000	2000	2010	2010
	Hispanic	Hispanic	Change %	Hispanic	Change %
<b>Jefferson County</b>	2,745	10,280	274.50	25,488	148
<b>Shelby County</b>	525	2,910	454.29	11,567	297
<b>Bibb County</b>	286	210	-26.57	406	93
<b>Blount County</b>	286	2,718	850.35	4,626	70
<b>St. Clair County</b>	209	686	228.23	1,716	150
<b>Birmingham</b>	1,038	3,764	262.62	7,704	105
<b>Bessemer</b>	76	338	344.74	1,113	229
<b>Hoover</b>	366	2,380	550.27	4,915	107
<b>Adamsville</b>	12	0	-100.00	102	292
<b>Brighton</b>	6	17	183.33	407	546
<b>Brookside</b>	3	5	66.67	9	296
<b>Center Point</b>				806	59
<b>Clay</b>				127	535
<b>Fairfield</b>	22	105	377.27	127	74
<b>Fultondale</b>	34	80	135.29	909	766
<b>Gardendale</b>	36	83	130.56	207	176
<b>Graysville</b>	3	11	266.67	37	236
<b>Homewood</b>	191	729	281.68	1,846	163
<b>Hueytown</b>	32	77	140.63	321	345
<b>Irondale</b>	75	383	410.67	961	265
<b>Kimberly</b>	5	26	420.00	22	5
<b>Leeds</b>	50	113	126.00	774	453
<b>Lipscomb</b>	2	69	3350.00	436	693
<b>Midfield</b>	10	28	180.00	77	863
<b>Morris</b>	3	7	133.33	20	186
<b>Mtn Brook</b>	120	174	45.00	198	66
<b>Mulga</b>	0	7	0.00	5	400
<b>Pinson</b>				267	134
<b>Pleasant Grove</b>	28	28	0.00	57	104
<b>Tarrant</b>	36	175	386.11	578	382
<b>Trafford</b>	5	0	-100.00	6	500
<b>Trussville</b>	37	110	197.30	250	129
<b>Vestavia Hills</b>	149	368	146.98	835	150
<b>Warrior</b>	6	0	-100.00	25	150

Census 2010 Data as found in <http://www.al.com.census/>.



2. All Housing Programs (CDBG/HOME) and public service programs (CDBG) are implemented Consortium-Wide (All of Jefferson County outside of the Cities of Birmingham, Bessemer, County Line, Sumiton, Argo, Helena and Hoover). Regarding non-housing activities Jefferson County does not discriminate against any area of the County based upon geographic considerations. Every effort will, however, be made to target, where feasible, funds into areas which have the greatest need. Greatest need is defined as areas where 50% or more of the households have incomes 80% or less of median based upon HUD figures or where greater than 30% of the households are minority. This is based upon 2010 Census data. A direct corollary has been seen between high minority concentrations and high poverty levels.

3. Due to the growing need for housing rehabilitation assistance in Jefferson County, yearly funding cuts on the national level and lack of funds at the local level work together to prevent us from properly addressing the needs of the Consortium underserved or otherwise. With this scarcity of program funding there is also a multi-year waiting period for housing rehabilitation assistance. Non-housing activities suffer from the same scarcity of funds meaning that less can be done with CDBG funds on an annual basis. To compensate for this, Jefferson County continues to seek alternate sources of funding.

4. Jefferson County expects to receive \$1,723,867.00 in CDBG funds, \$5,000.00 in CDBG program income; \$563,262.00 in HOME funds, \$140,816.00 in County match (In-Kind), and \$100,000.00 in HOME program income; \$160,259.00 in federal ESG funds, and \$160,259.00 in ESG Match funds, making a total of \$2,853,463.00 in funds available for Program Year 2013-14.

Section "8" funding is noted below per Public Housing Authority:

Section "8" funding per Public Housing Authority nets the following # of units:

A.	Leeds Housing Authority	-	182
B.	Jefferson County Housing Authority	-	1,678
C.	Fairfield Housing Authority	-	370
D.	Tarrant Housing Authority	-	87

The Alabama Housing Finance Authority\*\*\* has advised us that no Low Income Housing Tax Credits (LIHTC - 2013 allocations) have been funded within the Jefferson County Community Development Consortium.

Source Data: HUD.gov/pha profiles

\*\*\*Alabama Housing Finance Authority

**Annual Objectives 91.220(c)(3)**

**\*If not using the CPMP Tool:** Complete and submit Table 3A.

**\*If using the CPMP Tool:** Complete and submit the Summary of Specific Annual Objectives Worksheets or Summaries.xls

**Goals and objectives to be carried out during the action plan period are indicated by placing a check in the following boxes.**

<input checked="" type="checkbox"/>	<b>Objective Category Decent Housing</b>  Which includes:	<input checked="" type="checkbox"/>	<b>Objective Category: Expanded Economic Opportunities</b>  Which includes:	<input checked="" type="checkbox"/>	<b>Objective Category: Expanded Economic Opportunities</b>  Which includes:
<input checked="" type="checkbox"/>	assisting homeless persons obtain affordable housing	<input checked="" type="checkbox"/>	improving the safety and livability of neighborhoods	<input checked="" type="checkbox"/>	job creation and retention
<input checked="" type="checkbox"/>	assisting persons at risk of becoming homeless	<input type="checkbox"/>	eliminating blighting influences and the deterioration of property and facilities	<input checked="" type="checkbox"/>	establishment, stabilization and expansion of small business (including micro-businesses)
<input checked="" type="checkbox"/>	retaining the affordable housing stock	<input checked="" type="checkbox"/>	increasing the access to quality public and private facilities	<input type="checkbox"/>	the provision of public services concerned with employment
<input checked="" type="checkbox"/>	increasing the availability of affordable permanent housing in standard condition to low-income and moderate-income families, particularly to members of disadvantaged minorities without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability	<input type="checkbox"/>	reducing the isolation of income groups within areas through spatial deconcentration of housing opportunities for lower income persons and the revitalization of deteriorating neighborhoods	<input checked="" type="checkbox"/>	the provision of jobs to low-income persons living in areas affected by those programs and activities under programs covered by the plan
<input type="checkbox"/>	increasing the supply of supportive housing which includes structural features and services to enable persons with special needs (including persons with HIV/AIDS) to live in dignity and independence	<input type="checkbox"/>	restoring and preserving properties of special historic, architectural, or aesthetic value	<input type="checkbox"/>	availability of mortgage financing for low income persons at reasonable rates using non-discriminatory lending practices
<input type="checkbox"/>	providing affordable housing that is accessible to job opportunities	<input type="checkbox"/>	conserving energy resources and use of renewable energy sources	<input checked="" type="checkbox"/>	access to capital and credit for development activities that promote the long-term economic social viability of the community

**Table 3A  
2013 Summary of Specific Annual Objectives**

<b>Obj #</b>	<b>Specific Objectives</b>	<b>Sources of Funds</b>	<b>Performance Indicators</b>	<b>Expected Number</b>	<b>Outcome /Objective*</b>
<b>Rental Housing Objectives</b>					
1	Rental Housing for the Elderly	HOME/Other	Single Unit	40	DH2
<b>Owner Housing Objectives</b>					
2	Housing New Construction	HOME/Other	Single Unit	0	DH2
3	Housing Rehabilitation	CDBG/Other	Single Unit	22	DH2
<b>Homeless Objectives</b>					
1	ESG Administration	ESG	N/A	N/A	N/A
2	Homelessness Prevention	ESG	Single Unit	10	DH3
3	Rapid Re-housing	ESG	People	0	DH-1
4	HMIS	ESG	People	2	DH-1
5	Emergency Shelter	ESG	People	20	DH-1
5	Street Outreach - Essential Services	ESG	People	5	DH-1
<b>Special Needs Objectives</b>					
4	See Rental Housing for the Elderly Above under Rental Housing Objectives				
<b>Community Development Objectives</b>					
1	General Program Administration	CDBG	N/A	N/A	N/A
2	Housing Program Delivery	CDBG	N/A	N/A	N/A
3	Economic Development TA	CDBG	N/A	N/A	N/A
<b>Public Facilities Objectives</b>					
1	Fairfield Sidewalk Improvements	CDBG	Public Facility	1	SL-1
2	Brighton Mini Park Improvements	CDBG	Public Facility	1	SL-1
3	North Johns Fire Station Improvements	CDBG	Public Facility	1	SL-1
4	New Castle Park Improvements	CDBG	Public Facility	1	SL-1
5	Rosedale Sidewalk Phase III	CDBG	Public Facility	1	SL-1
<b>Public Services Objectives</b>					
1	Fair Housing & Home Ownership Counseling (under Housing)	CDBG/Other	Household's Counseled	15	DH1
1	The People's Development Center	CDBG	People	10	DH1
<b>Economic Development Objectives</b>					
1	Economic Development Loan	CDBG	Business	0	E0-1

	<b>Other Objectives</b>				

Type of Housing	All SHP Funds Requested (Current Year)	2011	2012
	2010		
Transitional Housing (TH)	1,866,183	1,866,183	1,734,306
Safe Havens-TH	N/A	N/A	N/A
Permanent Housing (PH) Renewal	1,178,310	2,978,310	2,035,690
Permanent Housing (PH) New Request			921,529
Safe Havens-PH	301,927	301,927	300,496
SSO	887,490	887,490	266,143
HMIS	138,600	138,600	141,240
<b>Totals</b>	<b>\$ 4,372,510</b>	<b>\$ 6,172,510</b>	<b>\$ 4,477,875</b>

Number of Bedrooms	All S+C Funds Requested (Current Year)		2011		2012	
	2010					
	Units	\$	Units	\$		
0	0	0	0	0	0	
1	176	1,278,936	186	1,278,936	97	693,744
2	165	1,295,892	169	1,295,892	167	1,326,648
3	126	1,283,040	132	1,283,040	128	1,290,240
4	17	170,136	17	170,136	17	176,460
5	1	11,508	1	11,508	1	11,916
6	1	13,224	1	13,224	0	0
<b>Totals</b>	486	<b>\$ 4,052,736</b>	506	<b>\$ 4,052,736</b>		<b>3,951,304</b>

## **Managing the Process**

1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.
3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.

Program Year 4 Action Plan Managing the Process response:

1. The Jefferson County Office of Community Development is the lead agency for the development and the implementation of the Consolidated Plan. Jefferson County works with a variety of non-profit entities contractually responsible for implementation of portions of the plan. This is particularly important in providing assistance to the homeless.
2. An Open Season period was held from September 25, 2012 through January 31, 2013 in which 12 public meetings were held. In order to obtain information regarding the fourth Annual Action Plan of the 2010 Consolidated Plan eight (8) meetings were held at the Jefferson County Courthouse. Public meetings were also held at the following locations at 6:00 p.m. at Brighton Community Center, Graysville City Hall, Irondale City Hall, and Warrior City Hall. Those who participated in the development of the plan were non-profit agencies, homeless service providers, public housing authorities, residents of Jefferson County and local governments.
3. During the next year, Jefferson County plans to continue to meet with public and private housing, health, and social service agencies to provide resources to meet the needs of the Jefferson County Consortium. Jefferson County plans to work closely with these agencies in the development of coordinated strategic plans in order to provide a more comprehensive approach to meeting those needs and in determining where gaps may occur that need to be addressed. Jefferson County also plans to work closely with these organizations in efforts to increase the resources available to beneficiaries of the Emergency Solutions program.

## **Citizen Participation**

1. Provide a summary of the citizen participation process.
2. Provide a summary of citizen comments or views on the plan.
3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

\*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

Program Year 4 Action Plan Citizen Participation response:

Proposed Citizens' Participation Plan

The Housing and Community Development Act of 1974 became law in August 1974. In October 1977, President Carter signed the Housing and Community Development Act of 1977, which amended the 1974 Act. However, the objective of the legislation remains, as before, to develop viable urban communities, by expanding economic opportunities and providing decent housing and a suitable living environment, principally for persons of low and moderate income. Another equally important objective is to help elderly groups and disabled persons.

Jefferson County is eligible to participate in the Community Development Block Grant program under a special provision for "Urban Counties." Urban Counties are those with a minimum population of 200,000 persons or more. In order to meet the population requirement, a consortium of thirty Jefferson County cities was assembled, excluding the cities of Birmingham, Bessemer, County-Line, Sumiton, Argo, Helena and Hoover. They are as follows:

Adamsville	Hueytown	Mulga	West Jefferson
Brighton	Irondale	Pleasant Grove	
Brookside	North Johns	Sylvan Springs	
Cardiff	Kimberly	Tarrant	
Center Point	Leeds	Trafford	
Clay	Lipscomb	Trussville	
Fairfield	Fultondale	Maytown	
Vestavia Hills	Gardendale	Midfield	
Warrior	Graysville	Morris	
Homewood	Pinson	Mountain Brook	

The Community Development Block Grant program has been operating in Jefferson County since 1976, the Emergency Shelter Grant program since 1989, and the HOME program since 1992. These programs are part of a consolidated planning and application process and they require a written Citizen Participation Plan. This Plan describes the process for involving citizens in the establishment and priorities of needs for the expenditure of funds, and the preparation of the Consolidated Plan and Action Plan.

The Consolidated Plan began with the 1995 Program Year. The applications for CDBG, HOME, ESG, as well as other Federal programs the County participates in, are included in one application. The Consolidated Plan\Action Plan also has specific citizen participation requirements which, "...are designed to encourage participation by very low, and low-income persons, particularly those living in slum and blighted areas, and in areas where CDBG funds are proposed to be used. Jurisdictions are expected to take whatever actions are appropriate to encourage the participation of all of its citizens, including minorities and non-English speaking persons, as well as persons with mobility, visual or hearing impairments."

To accomplish this, the regulations require that:

- 1) Information on the program be supplied to citizens,

- 2) That at least two different public hearings be held during the Consolidated Planning Process, and
- 3) That citizens have an opportunity to comment on the County's Consolidated Plan\Action Plan and on the County's performance with the County responding to citizen comments.

### Introduction

The Office of Community & Economic Development is the management arm of the Jefferson County Commission for all matters associated with the CDBG, HOME, and ESG programs. It provides, through close coordination and cooperation with the Commission and citizens, the means for developing plans, implementing projects, and analyzing performance of the programs.

Each municipality in the consortium will be requested to designate an Advisory Council member to meet with the Office of Community & Economic Development and make recommendations about the planning process. Persons from unincorporated areas of the County and non-profit organizations will also be invited to participate in this process. This group will be known as the Technical Advisory Committee. It will meet at least twice during a Program Year. The primary purpose of this group will be to advise the Office of Community & Economic Development. They will have no funding authority. All applications for financial assistance from an incorporated municipality, which involves construction activities, will have to be coordinated through the mayor. Unincorporated areas may submit applications directly to the Office of Community & Economic Development.

### Public Hearings

In addition to the two Technical Advisory Committee meetings, Jefferson County will hold public hearings at two different times during the Consolidated Plan\Action Plan process. The first series of meetings will be held early in the planning stage and the last series will be held after the publication of a summary of the proposed plan in local newspapers.

Public hearings are normally held at the Jefferson County Office of Community & Economic Development during working hours, but as a special case, may be held at various locations around the County to give more citizens the opportunity to attend. The County will make arrangements to accommodate citizens who are physically challenged.

### Housing Authorities

The County shall work with area Housing Authorities to encourage the participation of residents of public and assisted housing developments in the Consolidated\Action Plan process. The County shall also provide information to the housing agencies about housing and community development plan activities related to its developments and surrounding communities so that the housing agency can make this information available at any public hearing required under the Public Housing program.

### Topics of Meetings and Hearings

The meetings shall address housing and community development needs, development of proposed activities, and in at least one series of meetings, to review program performance. The hearings are to obtain the views of citizens on housing and community development needs, including priority non-housing community development needs.

Provision of information involves three distinct requirements:

1. Provision of program information;
2. Disclosure of program records; and,
3. Publication of notices concerning the Consolidated/Action Plan, the Annual Performance Reports, and the Citizen Participation Plan.

#### Provision of Program Information

At each public hearing Jefferson County will make available to citizens and public agencies, information on the amount of Federal assistance and the number of years the County expects to receive it, the range of eligible activities, a summary of past activities, and the number of activities that will benefit low-, very low-, and extremely low-income persons.

#### Disclosure of Program Records

Jefferson County will maintain at all times information and records on the Consolidated/Action Plan and the use of assistance for the previous five years. This information will be available for public review at the Jefferson County Office of Community & Economic Development. However, some records, such as applications, deal with personal income and other information on individuals, and must therefore be kept confidential. With the exception of these, all records of program activity are available for review at the Office of Community & Economic Development during normal working hours. The Citizen Participation Plan and the Consolidated/Action Plan will be available in printed form.

#### Public Notification of Program Action

Notice of the public hearings for the Consolidated/Action Plan process will be publicized in a manner appropriate to the area where the hearing will be held, and can include posting of notices locally, radio and television public service announcements, announcements to local churches, and mailed notices. Notification will also be sent to participating local governments. All notifications shall contain an explanation of the subject and scope of the hearings at least ten (10) days prior to the public hearing.

#### Availability of the Draft Consolidated/Action Plan

A summary of the Draft Consolidated/Action Plan will be published in at least one local newspaper of general circulation and one minority newspaper prior to the final series of public hearings on the Plan. Notification that the County will accept comments on the plan for 30 days will also be included in the publication. The publication will include a listing of public hearings to be held on the draft, as well as their times and locations. Notification will be made of the availability of the complete plan for public review at the Jefferson County Office of Community & Economic Development and at least seven public libraries whose locations are geographically dispersed throughout the County.



The adopted Consolidated/Action Plan, any amendments to the Plan, and the Annual Performance Report will be available to the public at the Office of Community & Economic Development.

#### Consolidated Annual Performance Report

Notification of the availability of the Consolidated Annual Performance Report shall be published in at least one newspaper of general circulation and at least one minority newspaper. The report will be available at the Office of Community Development. Comments will be accepted on the Plan for a period of 15 days following the publication of the notice.

#### Citizen Participation Plan

Jefferson County shall publish the proposed Citizen Participation Plan in a newspaper of general circulation and one minority newspaper and send notification of its availability to area governments and such non-profit organizations and citizens groups as it deems appropriate. The Plan shall be available in the Jefferson County Office of Community & Economic Development in printed form. The County will receive comments on the Plan for a period of 30 days after the publication of the Plan.

#### Relocation Information

The County will seek to minimize the displacement of persons. If displacement is to occur, the affected persons will be notified of the type and levels of assistance available prior to the County taking any action on the displacement. Any actions will be accomplished in accordance with the Uniform Relocation Act, as amended.

#### Technical Assistance

Technical assistance will be provided to non-profit organizations and citizens' groups representing low, very low, and extremely-low income people in preparing applications for funding under the programs covered in the County's Consolidated/Action Plan.

The reasons for which any technical assistance will be provided are:

- 1) To aid groups of low and very low-income persons and groups residing in blighted neighborhoods to prepare proposals and statements of views; or
- 2) To provide assistance to non-profit groups that serve low and very low-income groups to prepare proposals.

Provision of technical assistance will not be a guarantee of funding. To obtain assistance, requests must be made to the Jefferson County Office of Community & Economic Development within the Open Season date and/or the published cut-off time for application submission. The Jefferson County Commission will have final decision on the funding of any specific project that meets program eligibility.

Jefferson County will consider all comments or views received and respond to all written inquiries and written complaints on the Citizen Participation Plan, the Consolidated/Action Plan and any substantial amendments to the Plans, and the Annual Performance Report. Response will be made within fifteen (15) working days of receipt of the comments. In cases where the address is not known of the person commenting, responses will remain on file at the Office of Community & Economic Development.

#### Complaints

Jefferson County will accept complaints and criticisms on the CDBG, HOME, and ESG programs, the Consolidated/Action Plans, amendments and the Performance Report at any time. The County will respond to any written citizen complaint within fifteen (15) working days from the time of receipt. The procedure for responding to criticisms of the program will be handled as follows:

Written Complaints should be submitted to the following:

Jefferson County Office of Community & Economic Development  
716 Richard Arrington Jr Blvd N, Suite A-430  
Birmingham, AL 35203  
Attn: Frederick L. Hamilton, Director

Complaints and grievances may be filed by any individual or group, a municipality, the County Commission, the Office of Community & Economic Development, the U.S. Department of Housing & Urban Development's Area Office, and any agency of the Federal Government, any member of Congress, or the Office of the President. Regardless of the origin of the complaint, or the first point of filing, it shall be reduced to writing, then based upon origin, it will be returned to the local government having direct jurisdiction.

If the complaint is based on a matter involving the Jefferson County CDBG Program or other Consolidated/Action Plan programs, the Office of Community & Economic Development, must be notified, and will coordinate the local review and possible resolution of the complaint or grievance. Upon notification, the Office will insure that a complete and accurate response is made to the complainant within fifteen (15) days to discuss the matter. The major responsibility of the Office is to determine the functional and legal origins of the complaint, to address the validity of each, and to verify the geographical origin.

Complaints arising in municipalities in the Consortium, and particularly those which involve direct concern with municipal policy or administration shall be initially handled at the municipal level, with the assistance of the Office of Community & Economic Development. Should the complaints not be resolved at that level, the matter shall be referred to the County Commission. A complete record of correspondence, meetings, and research information shall be forwarded to the Commission upon referral. It shall not be the policy of the Office of Community Development to deal with complaints without the knowledge and direct involvement of local elected officials having jurisdiction, but the Office will provide staff support and technical assistance during the local review in a city or in the County.

If, after review and investigation at each level, the complainant is not satisfied with the outcome or does not voluntarily withdraw the complaint, he or she may proceed to the next level or other forms of relief.

#### Internal Complaint Procedure

All complainants shall be directed to the office of the Director of Jefferson County's Office of Community & Economic Development. The Director's office will direct the complaint to the appropriate division or office. The appropriate office shall respond to the complaint and notification shall be sent to the Director's Office that shall include a copy of the response.

#### Criteria for Substantial Amendments

**Amendments to the Consolidated/Action Plan:** Jefferson County shall amend its Consolidated/Action Plan whenever a proposed activity is to be undertaken that is not covered by the Consolidated/Action Plan, or included in the approved Action Plan.  
**Procedures for Amendments:** The proposed amendment shall be published in a local newspaper of general circulation and the County shall receive comments for a period of thirty days. The County shall also provide appropriate units of local government with notification of the proposed change.

#### Use of the Citizen Participation Plan

Jefferson County shall follow the Citizen Participation Plan in the development of the Consolidated and Action Plans, the Amendments to those Plans, and for the Performance Reports.

1. An Open Season period was held from September 25, 2012 through January 31, 2013 in which 12 public meetings were held. In order to obtain information regarding the fourth Annual Action Plan of the 2010 Consolidated Plan eight (8) meetings were held at the Jefferson County Courthouse. Public meetings were also held at the following locations at 6:00 p.m. at Brighton Community Center, Graysville City Hall, Irondale City Hall, and Warrior City Hall. Those who participated in the development of the plan were non-profit agencies, homeless service providers, public housing authorities, residents of Jefferson County and local governments.
2. Citizens' comments and views will be received at a public hearing to be held on June 20, 2013 at 10:00 a.m. at the Jefferson County Courthouse (716 Richard Arrington JR BLVD N). Written comments will also be received prior to 5:00 PM on July 8, 2013 to the following:

Jefferson County Office of Community & Economic Development  
716 Richard Arrington Jr Blvd N, Ste. A-430  
Birmingham, AL 35203  
Attn: Proposed 2013 One-Year Action Plan

3. The comment period will be held between June 5, 2013 and July 8, 2013. A public hearing for comments will be held on June 20, 2013. A copy of the plan is made available at 11 libraries through out the County. A summary of the plan was published in the Birmingham Times (paper of minority circulation) and Birmingham News. Special accommodations were made available upon request for those with disabilities and those with limited English proficiency.
4. To be addressed following the conclusion of the 30-day comment period.

## **Institutional Structure**

1. Describe actions that will take place during the next year to develop institutional structure.

Program Year 4 Action Plan Institutional Structure response:

1. Jefferson County will implement its Consolidated Plan through its Office of Community & Economic Development which will serve as lead agency. Jefferson County works with a number of agencies both public and non-profit in delivering services to the residents of the County. Jefferson County's HOME Program further solicits outside sources of funds through both public and private sectors including the Low Income Housing Tax Credits. The CDBG funded Housing Rehabilitation programs further work with volunteer and faith based entities where possible. The ESG program works through a variety of non-profit entities to support their Continuum of Care initiatives.
2. While there are unmet needs within Jefferson County, we have not identified any significant gaps in the service delivery system provided by the institutions within Jefferson County. Given the budget constraints, Jefferson County feels that it is meeting the identified high priority needs for both the County as a whole and its constituent local jurisdictions.
3. There are four Public Housing Authorities within the Jefferson County Community Development Consortium. These are the Fairfield, Tarrant, Leeds and Jefferson County Public Housing Authorities (PHA's). The Jefferson County Commission can appoint board members to the Jefferson County Public Housing Authority only. All other Housing Authorities operate independently of the Jefferson County Commission. Jefferson County works closely with local PHA's in supporting their efforts to improve the housing stock and quality of life of their beneficiaries. When requested Jefferson County reviews proposed capital improvements as well as proposed development, demolition or disposition of public housing development. Jefferson County does not fund any Housing Authority and has no involvement in procurement and hiring. This is done through the local Authority under plans approved by HUD.

## Monitoring

1. Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

### **MONITORING STRATEGY FOR SUB-RECIPIENTS OF CDBG FUNDS, AND ESG RECIPIENTS, AS REQUIRED AT 91.230:**

The County recognizes its responsibility to be fiscally and administratively accountable for its activities regarding federal assistance programs to be implemented under the Consolidated Plan. In carrying out the goals, objectives, and priorities of the One Year Action Plan through the involvement of inter-governmental service departments; selected community-based non-profit organizations; public/private partnerships; for-profit agencies and other eligible entities, the County will closely monitor its programs and activities, to ensure that planned performance goals and objectives are being met in accordance with all Federal Uniform Administrative Requirements, and specific program regulations governing each component of the Consolidated Plan. This includes mandated requirements concerning affirmative marketing for housing activities, equal employment opportunity issues relative to the hiring of employees and Section 3 program requirements regarding the use of low-income project area residents as employees and businesses as subcontractors, where applicable.

In all aspects, the County's approach to monitoring will be to improve program accountability and support results-oriented management by clearly communicating established priorities, needs and goals; providing measurable indicators of progress; and reporting on progress against these measures. Ongoing collaborations with participating agencies, organizations and departments will take place to share knowledge, experiences and to take advantage of their learning to solve similar problems. The County is committed to the provision of technical assistance to non-profits and others that will ultimately result in enhancing sub-recipient service delivery and contract management capabilities. Daily project tracking and performance reviews will also play a major role in solidifying the planned impact of these coordinated efforts.

Toward this end, written agreements, letters of appointment and/or procurement contracts will be executed with selected entities who have demonstrated the capacity to effectively address those needs as identified in the Plan. These documents will contain programmatic descriptions with quantifiable measurable performance goals and estimated time frames for completion.

#### Sub-recipient Monitoring:

The County will comply with the Contractual Regulatory Requirements, as referenced at Section 24 of the Code of Federal Regulations, Part 570, which outlines the following basic provisions which all Sub-recipient agreements must address:

Statement of Work/Scope of Services

Detailed description of work to be performed, quantifying specific performance goals/milestones for completion of activities, and how the project will ensure that intended beneficiaries are served. Detailed information regarding the planned impact of economic development activities including job creation and retention, where applicable. Housing rehabilitation/development activity descriptions will address specific work to be done, the number of units to be completed and performance benchmarks. The scope of services for public works and public facilities will be developed with the assistance of participating municipalities, Jefferson County, and private consultants where applicable.

Detailed budget of projected resources, indicating clearly how funds will be used to support planned activities.

Record-keeping Requirements

Identifying the sub-recipient's responsibility for providing timely financial reports, accurately documenting program progress, the frequency of reports required by the County and Federal Government. Documentation of CDBG participant on eligibility.

Program Income

Specific management and reporting procedures for those activities that may generate program income to ensure that funds are properly recorded, reported and expended.

Program Financial Audit

Detailing regulatory guidelines by which the County and sub-recipient agencies will be governed regarding the financial and programmatic responsibilities of grant administration.

Reversion of Assets

Details the return of any CDBG funds to the County upon expiration of agreements.

Other Program Requirements

Addressing such special areas as labor standards, fair housing requirements, prescribed conditions governing the participation of religious organizations, and other assurances and certifications where applicable.

Suspension and Termination Clause

Sets forth provisions for the County to terminate or suspend agreements for reasons of non-compliance or convenience.

These actions which provide Sub-recipients with all the necessary contract management and program implementation tools, will provide adequate and detailed information to effectively monitor both the sub-recipient and the County's performance under the Consolidated Plan.

**Schedule:**

The monitoring of Consolidated Plan approved program activities will be accomplished through the application of three basic functions: programmatic, financial and on-site monitoring. The first two functions are conducted from an in-house perspective based on the desk-top review of required monthly progress reports, periodic inter-departmental consultations, financial reimbursements and related documents, correspondences and other day-to-day contract management activities.

Significant observations resulting from all monitoring efforts will be documented in writing and placed in appropriate project files. Comments on the status of activities reflecting successes or lack of progress will be reflected with recommendations for corrective actions where necessary. For minor problems, on-going open collaborations with funded entities will provide an opportunity to share concerns, and offer immediate technical assistance. In instances where on-site monitoring have taken place a formal letter outlining monitoring results and any necessary corrective actions to be undertaken and time frames to resolve identified concerns will be transmitted to the appropriate authorities. In addition to daily monitoring and management functions, the County will conduct at least one formal on-site monitoring of Sub-recipients per quarter. However, unlimited monitoring will take place for those agencies demonstrating the need.

The U.S. Department of Housing and Urban Development provides further oversight of the County's CDBG activities and other financial assistance programs through its annual monitoring, required program specific performance reports and technical assistance upon request. The County anticipates that this policy will continue.

**Home Program Monitoring:**

1. Community Development Department staff reviews all HOME Program proposals according to how each can best address the County's affordable housing priorities identified in the County's Consolidated Plan and Action Plan.
2. After proposals are reviewed, the Office of Community & Economic Development makes its recommendations to the Jefferson County Commission based on program objectives.
3. Upon approval, HOME affordable housing providers are informed as to the disposition of their proposal. Contracts are prepared for execution by the County and HOME affordable housing providers approved for funding.
4. Community Development staff monitor the contractual agreement through project completion and throughout the duration of the applicable affordability period.
5. The County will undertake inspections of rental properties every one to three years as required by HOME regulations to determine upkeep and income compliance.
6. The County will do annual residency verification on home ownership properties as needed and required by HOME program regulations.

## Lead-based Paint

1. Describe the actions that will take place during the next year to evaluate and reduce the number of housing units containing lead-based paint hazards in order to increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families, and how the plan for the reduction of lead-based hazards is related to the extent of lead poisoning and hazards.

Program Year 4 Action Plan Lead-based Paint response:

1. All Housing Rehabilitation Programs implemented by Jefferson County through its Office of Community & Economic Development will be implemented in compliance with all applicable Federal, State and local rules and regulations.
2. Jefferson County will further consider applying for additional lead hazard reduction funds on a periodic basis.

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## HOUSING

### Specific Housing Objectives

\*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 4 Action Plan Specific Objectives response:

Priority #1: To reduce the number of existing homeowners with incomes at or below 80% of median who are living in substandard housing.

Strategy: Rehabilitation of 22 owner occupied housing units over the next year. The following programs will be used to achieve this goal:

- A. Emergency Housing Rehabilitation Grant Program (CDBG):  
Emergency repairs for lower income households.

#### Output

Indicators: A. Emergency Housing Rehabilitation Grant Program (CDBG):  
22 homes repaired for lower income households broken down as follows:  
10 - 0%-30% of Median Household Income (MHI)  
97 - 31%-50% of MHI  
3 - 51%-80% of MHI



Priority #2: To Affirmatively Further Fair Housing

- Strategy:
- A. Provide Fair Housing and home ownership counseling to households with income at or below 80% of Median Household Income (MHI).
  - B. Implement a Housing Accessibility program to make accessibility and safety modifications to owner occupied households with incomes at or below 80% of MHI and where a disability exists.
  - C. Require on all new home construction that homes built for those with a disability be built to federal accessibility standards.
  - D. Require that all new home construction built for those without existing disability be built to federal adaptability standards for interior areas and where feasible for exterior access.

Output

- Indicators:
- A. See Priority #1.
  - B. Implement a Fair Housing and Homeownership Counseling program for households with incomes at or below 80% of MHI.
  - C. Build adaptable and accessible housing using HOME and other funds.
  - D. Housing Accessibility program (See Priority #1) to make accessibility modifications to the homes of owner occupants with disabilities.

Priority #3: Develop affordable rental housing for the elderly, frail elderly and those with special needs.

- Strategy:
- A. Use HOME and other funds to make rental housing for the elderly, frail elderly and those with other special needs more affordable.
  - B. Seek Low Income Housing Tax Credits (LIHTC) and other funding which might become available for this purpose.

Output

Indicators: Build and lease 20 special needs rental units over the next year.

- 0 - 0%-30% of Median Household Income (MHI)
- 20 - 31%-50% of MHI
- 0 - 51%-60% of MHI
- 0- 61%-80%

- 2. CDBG funds will be used for Housing Rehabilitation along with Fair Housing and Home Ownership Counseling Programs.

HOME funds will be used for the development of Elderly/Special Needs rental housing. Jefferson County has issued a commitment letter for an additional 40 units contingent upon the award of state HOME/LIHTC funding.

## Needs of Public Housing

- 1. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.

2. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Program Year 4 Action Plan Public Housing Strategy response:

1. Jefferson County will support the efforts of Consortium Public Housing Authorities (PHA's) to address the needs of public housing and public housing activities that they will undertake during the next year. Jefferson County will further support the efforts of Consortium PHA's to encourage public housing residents to become more involved in management and encourage their interest and efforts to move to homeownership.
2. The Fairfield Housing Authority is designated as "troubled" by HUD. Due to a lack of resources and expertise, Jefferson County will be unable to offer assistance to the Fairfield Housing Authority to specifically address the "troubled" designation.

### **Barriers to Affordable Housing**

1. Describe the actions that will take place during the next year to remove barriers to affordable housing.

Program Year 4 Action Plan Barriers to Affordable Housing response:

1. Jefferson County will pursue programs and initiatives that will, where feasible, address the high cost of housing construction. Such incentives that exist will be provided through CDBG, HOME, LIHTC (Special Needs Only) and other initiatives.
2. The Home program will further be used to finance affordable housing for the elderly and those with special needs.
3. Jefferson County will consider other options as needed.

### **HOME/ American Dream Down payment Initiative (ADDI)**

1. Describe other forms of investment not described in § 92.205(b).
2. If the participating jurisdiction (PJ) will use HOME or ADDI funds for homebuyers, it must state the guidelines for resale or recapture, as required in § 92.254 of the HOME rule.
3. If the PJ will use HOME funds to refinance existing debt secured by multifamily housing that is that is being rehabilitated with HOME funds, it must state its refinancing guidelines required under § 92.206(b). The guidelines shall describe the conditions under which the PJ will refinance existing debt. At a minimum these guidelines must:

- a. Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing.
  - b. Require a review of management practices to demonstrate that disinvestments in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated.
  - c. State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.
  - d. Specify the required period of affordability, whether it is the minimum 15 years or longer.
  - e. Specify whether the investment of HOME funds may be jurisdiction-wide or limited to a specific geographic area, such as a neighborhood identified in a neighborhood revitalization strategy under 24 CFR 91.215(e)(2) or a Federally designated Empowerment Zone or Enterprise Community.
  - f. State that HOME funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.
4. If the PJ is going to receive American Dream Down payment Initiative (ADDI) funds, please complete the following narratives:
- a. Describe the planned use of the ADDI funds.
  - b. Describe the PJ's plan for conducting targeted outreach to residents and tenants of public housing and manufactured housing and to other families assisted by public housing agencies, for the purposes of ensuring that the ADDI funds are used to provide down payment assistance for such residents, tenants, and families.
  - c. Describe the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers.

Program Year 4 Action Plan HOME/ADDI response:

- (1) Jefferson County may invest HOME funds as equity investments, interest-bearing loans or advances, non-interest-bearing loans or advances, interest subsidies consistent with 24 CFR Part 92, deferred payment loans, grants or other forms of assistance that HUD determines to be consistent with the purposes of said Part.

In addition, Jefferson County may invest HOME funds to guarantee loans made by lenders and, if required, the County may establish a loan guarantee account with HOME funds. The HOME funds may be used to guarantee the timely payment of principal and interest or payment of the outstanding principal and interest upon foreclosure of the loan. The amount of the loan guarantee account must be based on a reasonable estimate of the default rate on the guaranteed loans, but under no circumstances may the amount on deposit exceed 20 percent of the total outstanding principal amount guaranteed; except that the account may include a reasonable minimum balance. While loan funds guaranteed with HOME funds are subject to all HOME requirements, funds which are used to repay the guaranteed loans are not.

- (2) The Resale/Recapture Requirements [92.254(a)(5)]

The Participating Jurisdiction must choose a Resale or Recapture option should the HOME recipient decide to sell their home within the affordability period. Jefferson County has chosen the recapture option. Under the "recapture" option, the recipient repays some or all of the HOME subsidy to the Participating Jurisdiction and is able to sell his/her home to any buyer at whatever price the market will bear. Jefferson County will require full repayment of outstanding direct HOME subsidy to the homebuyer at the time of resale or, if the net proceeds are less than the full amount of the HOME subsidy, recapture of the net proceeds. The net proceeds of a sale are the sales price minus any non-HOME loan repayments and closing costs. Jefferson County may at its option reduce the HOME investment amount to be recaptured on a prorata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period. These funds will be reinvested into HOME funded activities. In those cases where the real estate does not appreciate sufficiently to allow a full recapture, Jefferson County will reduce the repayment of the HOME subsidy to allow the original purchaser to resell the unit without incurring a loss.

In the event of foreclosure of the property by County or a superior lender, then the recapture shall be limited to the net proceeds received by the County as described above.

The homebuyers will execute a loan agreement and a mortgage in favor of Jefferson County to enforce these measures. Jefferson County will maintain Right of Purchase and Right of First Refusal on each property for the affordability period (5-15 years depending on the amount of the amount of HOME funds used).

3. Jefferson County will not refinance existing debt.
5. There are no planned American Dream Downpayment activities in the 2013 Program Year under the HOME Investment Partnerships Program (HOME).

#### Plan for Conducting Targeted Outreach

Jefferson County will conduct targeted outreach to residents and tenants of public and manufactured housing, and to other families assisted by public housing agencies, for the purposes of ensuring that the HOME funds are made available to such residents, tenants, and families. Outreach efforts will consist of the following:

- A) Jefferson County will notify PHA's, community organizations, employment centers, churches, fair housing groups or housing counseling agencies to inform and solicit applications from persons in the housing market area who are not likely to apply for the housing without special outreach efforts. Jefferson County will contact groups and agencies that provide services to, or have as members, persons determined as likely to apply for assistance under the Section 8 Program. Jefferson County has a contract with the Jefferson County Housing Authority for Fair Housing and Home Ownership counseling. This agency further acts as a referral groups under our programs.
- B) Jefferson County will place advertisements in a newspaper of general circulation as well as a newspaper owned by minorities and serving the minority community.

- C) Jefferson County will schedule an information meeting or meetings with the general public, owners and tenants to explain HOME Programs. The meeting(s) will be advertised in a newspaper of general circulation, a minority owned newspaper serving the minority community, as well as with an announcement mailed to local mayors, PHA's and community leaders. Jefferson County will provide speakers for smaller meetings on an as-requested basis. HOME is discussed during our public hearings and at homeownership fair around the County.

#### Ensuring the Suitability of Families Receiving HOME Assistance

Recipients under the HOME program will be required to attend homeownership classes and participate in pre-purchase counseling. Post-purchase counseling will also be offered. This will be facilitated through Jefferson County's CDBG funded Home Ownership Counseling Program or other partners. Training will be provided free of charge and will cover topics such as household budgeting and credit analysis, home maintenance and energy efficiency techniques, neighborhood safety and rights and responsibilities of homeownership.

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## HOMELESS

### Specific Homeless Prevention Elements

\*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Sources of Funds—Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property. Please describe, briefly, the jurisdiction's plan for the investment and use of funds directed toward homelessness.
2. Homelessness—In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.
3. Chronic homelessness—The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2013. Again, please identify barriers to achieving this.
4. Homelessness Prevention—The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.
5. Discharge Coordination Policy—Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

Program Year 4 Action Plan Special Needs response:

1. Sources of Funds - Homeless Assistance Programs: Jefferson County anticipates receiving \$119,773.00 in Federal ESG funds for the following activities: Emergency Shelter, Street Outreach – Essential Services, Homelessness Prevention, Rapid Re-Housing, HMIS, and Administration. Jefferson County is also in the process of expending funds received from the State of Alabama through the Department of Economic and Community Affairs for \$50,000.00 in ESG funds for Homeless Prevention activities and Administration. Funding through Jefferson County's Emergency Solutions Grant funds requires that each sub-recipient provide the matching funds itself from sources other than ESG funds. Any match provided by Jefferson County is allocated from staff time.

2. Homelessness - **Strategy for Targeting Funds to the Functional Areas Where Funds May Have the Greatest Impact**

During the Jefferson County Open Season for Program Year 2013, Jefferson County received applications from eight homeless service providers for requests of ESG funds that totaled \$500,000. Jefferson County believes in supporting programs that help homeless individuals and families become self-sufficient, including prevention and outreach; emergency, transitional, and permanent housing; and social services such as job training, health care, and child care. Jefferson County also believes in supporting agencies that meet sub-recipient timeliness standards.

a. **Proposed Activities**

**HOMELESS**

The ESG components serving those who are homeless are 1) street outreach, 2) emergency shelter and 3) rapid re-housing with data collected in the Homeless Management Information System (HMIS).

1. **Street Outreach** – Serves unsheltered homeless persons

Essential Services include street outreach services for:

- ✓ Engagement;
- ✓ Case Management;
- ✓ Emergency Health and Mental Health Services;
- ✓ Transportation; and
- ✓ Services for Special Populations: Homeless Youth, Homeless Persons with HIV/AIDS, and Homeless Victims of Domestic Violence, Sexual Violence, and Stalking

2. **Emergency Shelter** – Serves people staying in emergency shelters

Essential Services include:

- ✓ Case Management;
- ✓ Child Care, Education, Employment, and Life Skills Services;
- ✓ Legal Services;
- ✓ Health, Mental Health, and Substance Abuse Services;
- ✓ Transportation; and
- ✓ Services for Special Populations

Shelter Activities include:

- ✓ Renovation (including major rehab or conversion)
- ✓ Operations (e.g., maintenance, utilities, furniture, food)

Street Outreach and Emergency Shelter Activities combined cannot exceed \$71,863.80 (60%) of Jefferson County's total PY 2013 ESG allocation of \$119,773.00.

3. **Rapid Re-Housing** – Serves people who are literally homeless and can be used to help a homeless individual or family move into permanent housing and achieve housing stability. **From the 2013 Emergency Solutions Grant, Jefferson County does not propose an allocation for Rapid Re-Housing activities due to the amount of Rapid Re-Housing funds allocated from PY 2012.**

Eligible Activities:

- ✓ Housing Relocation and Stabilization Services; and
- ✓ Short- Term Rental Assistance – up to 3 months
- ✓ Medium-Term Rental Assistance – 4 to 24 months\*

\*Up to 24 months of rental assistance during any 3-year period, including one-time payment for up to 6 months of rent arrears on the tenant's portion of the rent.

**Short/Medium-Term Rental Assistance Standards:**

- FMR limits
- Rent Reasonableness
- Minimum Habitability Standards
- Rental Assistance Agreement and Lease
- No rental assistance to a household receiving rental assistance from another public source for same time period (except a one-time payment of up to 6 months of arrears).

### **HOMELESS PREVENTION**

The ESG component serving those who are at risk of homelessness is homeless prevention activities with data collected in the Homeless Management Information System (HMIS). Homeless Prevention activities are limited to Individuals and families with annual incomes below 30% AMI and are at risk of becoming homeless. **From the 2013 Emergency Solutions Grant, Jefferson County proposes to allocate \$23,928.02 in Homeless Prevention activities: \$13,928.00 in Tenant Based Rental Assistance and \$10,000.02 in Housing Relocation & Stabilization Services.**

Eligible Activities:

- ✓ Housing Relocation and Stabilization Services; and
- ✓ Short-Term Rental Assistance – up to 3 months
- ✓ Medium-Term Rental Assistance – 4 to 24 months

**Short/Medium-Term Rental Assistance Standards:**

- FMR limits
- Rent Reasonableness
- Minimum Habitability Standards
- Rental Assistance Agreement and Lease
- No rental assistance to a household receiving rental assistance from another public source for same time period (except a one-time payment of up to 6 months of arrears).

### **HOMELESS PREVENTION & RAPID RE-HOUSING: HOUSING RELOCATION & STABILIZATION SERVICES**

The following are allowable activities for both Homelessness Prevention and Rapid Re-Housing: Financial Assistance & Housing Relocation & Stabilization Services. (See Table 3 for proposed allocations of each category below under Rapid Re-Housing and Homeless Prevention).

**Financial Assistance:\***

Moving Costs  
Rent Application Fees  
Security Deposit  
Last month's rent  
Utility deposit  
Utility payments

**Services:**

Housing search/placement  
Housing stability case management  
Mediation and legal services  
Credit repair/budgeting/money management

\*No financial assistance to a household for a purpose and time period supported by another public source.

**HMIS** – Jefferson County proposes to allocate \$15,000.00 of the Emergency Solutions Grant in HMIS activities. Jefferson County and its sub-recipients will participate in the local Continuum of Care and utilize HMIS.

**Eligible Costs for recipients that are HMIS Lead Agencies:**

- ✓ Hosting and maintaining software or data
- ✓ Backing up, recovering, or repairing software or data
- ✓ Upgrading, customizing, and enhancing the HMIS
- ✓ Integrating and warehousing data
- ✓ Administering the HMIS
- ✓ Reporting
- ✓ Conducting training and using the HMIS

**Eligible Costs for Jefferson County and Sub-recipients:**

- ✓ Computer hardware, software, and software licenses
- ✓ Office space, utilities, and equipment
- ✓ Obtaining technical support
- ✓ Salaries for HMIS operation
- ✓ Staff travel (training and program participant intake)
- ✓ Participation fees charged by the HMIS Lead

**ADMINISTRATION** – Jefferson County's total budget of Emergency Shelter Grant funds and Emergency Solutions Grant funds is \$119,773.00.00 of which up to 7.5% may be used for administrative costs. Therefore, Jefferson County plans to allocate \$8,982.98 of the Emergency Solutions Grant to Administrative activities.

**Eligible Administrative Costs:**

- ✓ Providing management, oversight, and coordination
- ✓ Monitoring programs and evaluating performance
- ✓ Training on ESG requirements
- ✓ Preparing ESG & homelessness-related sections of the Consolidated Plan
- ✓ Carrying out environmental review responsibilities

3. Chronic Homelessness- Jefferson County has met with the homeless shelters in the Birmingham area to discuss the primary reasons for people remaining



in shelters. The number one reason identified by all shelters as a barrier for moving people from homeless to housing was the lack of funds to cover past utility expenses that led to the person or family becoming homeless. Neither Community Development Block Grant funds nor Emergency Shelter Grant funds could be used to pay these outstanding utility balances that prevent many homeless people from moving into permanent housing. In 2009 Jefferson County received Homelessness Prevention and Rapid Re-housing (HPRP) funds from the U.S. Department of Housing and Urban Development. Under HPRP qualifying families and individuals were able to receive assistance for eligible arrears of utilities and rents that prevented housing stability.

Jefferson County also adopted the Birmingham Plan to End Chronic Homelessness and plans to continue to search for funds to assist homeless persons and families with resources to give them the ability to move from homeless shelters to permanent self-sufficient housing.

4. Homeless Prevention - In December, 2011 the National Center for Family Homelessness released the state report card on child homelessness. According to this report 50<sup>th</sup> ranked Alabama was listed as one of the top ten worst states for child homelessness. The study found that in those top states including Alabama, more families were living close to the poverty line, with one-fourth or more of their families paying more than 50 percent of their income for rent.

A Birmingham News article dated December 13, 2011 titled "Alabama: It's No Place to be a Homeless Child, Advocacy Group Says" published a quote from The Salvation Army's spokesman Brian Wallace, "Workers are seeing more homeless families with children. When it comes to families and children, the numbers keep climbing." In that same article according to the Vice President of The National Center for Family Homelessness "families that have been living on the edge financially are becoming homeless because of a single catastrophic event, such as a layoff."

The effects of homelessness on children can be devastating. According to The National Center on Family Homelessness, children experiencing homelessness are sick for times more than other children; children experiencing homelessness have three (3) times the rate of emotional and behavioral problems compared to non-homeless children; and Children experiencing homelessness are four (4) times more likely to show delayed development and have twice the rate of learning disabilities as non-homeless children. The National Center on Family Homelessness also found a strong link between foster care placement and homelessness whereas mothers with a childhood history of foster care placement are more likely to become homeless and homeless children are at a high risk of being placed in foster care.

The Fostering Connections Resource Center described foster care as a mechanism intended to be a temporary safety net for children who are abused or neglected. In 2010 approximately 78 percent of all children who left foster care were discharged by unifying with a birth parent, living with a guardian, or through adoption. However, also in 2010 eleven percent of children exiting the foster care system were emancipated or "timed-out" from foster care at the age of 18 or older without a safe, permanent family. In 2009, Alabama had 281 youth who exited foster care by aging out. The website also quotes studies of youth who leave foster care in this manner result in consistently negative life outcomes: 25 percent of foster care alumni who aged out did not have a high school diploma or GED; over half of youth who aged out of foster care experienced one (1) or more episodes of homelessness, and nearly

Jefferson County, Al.

30 percent were incarcerated at some point – many times the rate of other young adults; and youth who aged out of foster care were less likely to be employed or to have health insurance than were their peers who had not been in foster care. According to the Fostering Connections Resource Center the youth who time-out of foster care support and services are needed to help them begin the transition to adulthood and prepare for work and personal responsibilities.

Under the 2013 Program Year Jefferson County plans to address homelessness prevention needs of qualified residents living within the Jefferson County Consortium.

5. Discharge Coordination Policy - Jefferson County plans to work with the local Continuum of Care in the Discharge Coordination policies.

Publicly Funded Institution(s) or System(s) of Care in CoC Geographic Area	Initial Discussion			Protocol in Development			Formal Protocol Finalized*			Formal Protocol Implemented*		
Foster Care	X	Yes	No	X	Yes	No	X	Yes	No	X	Yes	No
Health Care	X	Yes	No	X	Yes	No	Yes	X	No	Yes	X	No
Mental Health	X	Yes	No	X	Yes	No	X	Yes	No	X	Yes	No
Corrections	X	Yes	No	X	Yes	No	Yes	X	No	Yes	X	No
<b>Foster Care:</b>												
All youth in the care of the State of Alabama through the Department of Human Resources are evaluated by their case managers at high school graduation or upon aging out of the system, whichever comes first, for placement in transitional housing programs managed by Gateway, a non-McKinney-Vento funded program. These youth are not discharged into homelessness, or into McKinney-Vento funded programs.												
Health Care:												
N/A												
<b>Mental Health:</b>												
Alabama Department of Mental Health policy for all state operated facilities is that discharge planning is done with consumer involvement and input. Patients may go home to family or they may be released ONLY into certified group homes or permitted boarding homes. Clinical decisions that dictate supervised placement are followed-up with a visit to the facility to ascertain patients' adjustment to the placement. Patients are not discharged to homelessness or into any McKinney-Vento funded program.												
Corrections:												

N/A

## Emergency Shelter Grants (ESG)

**(States only)** Describe the process for awarding grants to State recipients, and a description of how the allocation will be made available to units of local government.

Program Year 4 Action Plan ESG response:

## COMMUNITY DEVELOPMENT

### Community Development

\*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), public facilities, public improvements, public services and economic development.
2. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

\*Note: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.

Program Year 4 Action Plan Community Development response:

1.
  - 01 Acquisition of Real Property 570.201(a)- Medium
  - 02 Disposition 570.201(b) - Low
  - Public Facilities and Improvements
  - 03 Public Facilities and Improvements (General) -High 570.201(c)
  - 2013 Activities:
  - 03A Senior Centers 570.201(c) – High
  - 2013 Activities:
  - 03B Handicapped Centers 570.201(c) - Low
  - 03C Homeless Facilities (not operating costs) 570.201(c) - High
  - 03D Youth Centers 570.201(c) - Low
  - 03E Neighborhood Facilities 570.201(c) - High

- 03F Parks, Recreational Facilities 570.201(c) – High  
2013 Activities: Brighton Mini Park, \$176,000.00
- 03G Parking Facilities 570.201© - Low
- 03H Solid Waste Disposal Improvements 570.201(c) - Low
- 03I Flood Drain Improvements 570.201(c) - High
- 03J Water/Sewer Improvements 570.201(c) - High
- 03K Street Improvements 570.201(c) - High
- 03L Sidewalks 570.201(c) – High  
2013 Activities: Rosedale Sidewalks Phase III, \$176,000; and Fairfield Sidewalks, \$166,000.00
- 03M Child Care Centers 570.201(c) - Low
- 03N Tree Planting 570.201(c) - Low
- 03O Fire Stations/Equipment 570.201(c) - High
- 03P Health Facilities 570.201(c) - Low
- 03Q Abused and Neglected Children Facilities 570.201(c) - Low
- 03R Asbestos Removal 570.201(c) - Low
- 03S Facilities for AIDS Patients (not operating costs) 570.201(c) - High
- 03T Operating Costs of Homeless/AIDS Patients Programs - High
- 04 Clearance and Demolition 570.201(d) - Low
- 04A Clean-up of Contaminated Sites 570.201(d) - Low
- Public Services
- 05 Public Services (General) 570.201(e) – High  
2012 Activities: Fair Housing Counseling, \$25,000; and The People’s Development Center, \$10,000.00
- 05A Senior Services 570.201(e) - High
- 05B Handicapped Services 570.201(e) - Low
- 05C Legal Services 570.201(E) - Low
- 05D Youth Services 570.201(e) - High
- 05E Transportation Services 570.201(e) - Medium
- 05F Substance Abuse Services 570.201(e) - Medium
- 05G Battered and Abused Spouses 570.201(e) - High
- 05H Employment Training 570.201(e) - Medium
- 05I Crime Awareness 570.201(e) - Low
- 05J Fair Housing Activities (if CDBG, then subject to 570.201(e) - High
- 05K Tenant/Landlord Counseling 570.201(e) - Low
- 05L Child Care Services 570.201(e) - High
- 05M Health Services 570.201(e) - Low
- 05N Abused and Neglected Children 570.201(e) - Low
- 05O Mental Health Services 570.201(e) - Low
- 05P Screening for Lead-Based Paint/Lead Hazards Poison 570.201(e) - Low
- 05Q Subsistence Payments 570.204 - High
- 05R Homeownership Assistance (not direct) 570.204 - Medium
- 05S Rental Housing Subsidies (if HOME, not part of 5% 570.204 - Low
- 05T Security Deposits (if HOME, not part of 5% Admin c - Low
- 06 Interim Assistance 570.201(f) - Low
- 07 Urban Renewal Completion 570.201(h) - Low
- 08 Relocation 570.201(i) - Low
- 09 Loss of Rental Income 570.201(j) - Low

14E Rehab; Publicly or Privately-Owned Commercial/Indu 570.202 - Low

- 14F Energy Efficiency Improvements 570.202 - Low
- 14G Acquisition - for Rehabilitation 570.202 - Low
- 14H Rehabilitation Administration 570.202 - High
- 14I Lead-Based/Lead Hazard Test/Abate 570.202 - High
- 15 Code Enforcement 570.202(c) - Low
- 16A Residential Historic Preservation 570.202(d) - Low
- 18A ED Direct Financial Assistance to For-Profits 570.203(b) - High
- 18B ED Technical Assistance 570.203(b) - High
- 18C Micro-Enterprise Assistance - High
- 19C CDBG Non-profit Organization Capacity Building - Low
- 19D CDBG Assistance to Institutes of Higher Education - Low
- 19E CDBG Operation and Repair of Foreclosed Property - Low
- 20 Planning 570.205 - High
- 21A General Program Administration 570.206 - High
- 21B Indirect Costs 570.206 - Low
- 21E Submissions or Applications for Federal Programs 570.206 - High

### **Anti-Crime Programs**

Crime Awareness

Need Level: Low

Statement of Need: The Jefferson County Consortium is made up of smaller cities and the unincorporated areas of the County. This area does not have the higher crime rates associated with the larger urban concentrations of the central cities, and the County has received no requests for assistance with crime awareness programs. The Jefferson County Sheriff's Department and local police forces conduct adequate crime awareness programs for the Jefferson County Consortium at the present time. If there is a need for additional services for crime awareness in the future, the County will consider those programs.

Goal: None

Objective: No Crime Awareness activities will be undertaken with 2013 Grant funds.

### **Economic Development**

#### **Rehab, Publicly or Privately-Owned Commer.**

Need Level: Moderate

Statement of Need: The older areas of the Jefferson County Consortium have, in a number of cases, outdated commercial and industrial facilities. The County has received requests for revitalization programs in the City of Leeds, Brighton, Tarrant and in a few other areas, but the primary need is for new development. Most of the older commercial and industrial developments in need of rehabilitation in Jefferson County are in the cities of Birmingham, Hoover and Bessemer, who are not in the Jefferson County Consortium. Therefore, the need for commercial-industrial rehabilitation within the consortium, while it exists, is not critical.

There are a number of obstacles to commercial-industrial rehabilitation. Transportation systems are a major determining factor in the viability of commerce and industry. Older developments are no longer located on the important road corridors. In addition, older developments frequently do not have sufficient land area to meet modern commercial and industrial needs. This, along with funding limitations, serves to limit the potential for commercial/industrial rehabilitation.

Goal: To provide, through loans and infrastructure programs, incentives to redevelop older commercial and industrial areas of Jefferson County.

Objective: To target, on a limited basis, commercial and industrial areas in the eastern and western sections of the County, commercial and industrial rehabilitation projects, and to provide incentives for zero to four rehabilitation projects over the five-year period of the plan.

2013 Activities to Address Need: None

### **Commercial Industrial (CI) Land Acquisition/Disposition/ CI Infrastructure Development**

Need Level: Low

Statement of Need: In its Strategic Economic Development Plan and in the Comprehensive Economic Development Strategy (CEDS), Jefferson County cites a lack of infrastructure as being a major impediment to economic development. The manufacturing sector of the local economy is smaller than the national average, and it is a goal of these plans to re-industrialize the local economy. To do this, sites for development must be provided that take advantage of modern transportation systems and incorporate the necessary infrastructure for development. Areas suited to industrial development with access to the highway system and rail transit do not have the sewer, water, and local road networks necessary for development. It is the goal of the County to develop sites as industrial parks, geographically distributed throughout the County.

The major obstacle to this effort is funding. A significant investment in infrastructure is required to leverage the private funding for development.

2013 Activities to Address Need: None

### **CI Building Acquisition, Construction, Rehab.**

Need Level: Moderate

Statement of Need: In addition to the rehabilitation of existing structures, additional commercial industrial buildings must be provided if the economy of the metropolitan area is to continue to grow.

Goal: To provide financial incentives to encourage the establishment of commercial and industrial facilities in the area.

Objective: Jefferson County will provide economic development loans to qualifying businesses to acquire or construct commercial industrial facilities.

2013 Activities to Address Need: None

### **Other Commercial/Industrial Improvements**

Need Level: High

Statement of Need: With the recent changes in the metropolitan work force, the skills and skill levels of available workers often do not match the labor needs of prospective businesses and industries. As a result a real need exists for training programs to retrain established members of the labor force and to provide initial training for entry level workers.

Goal: To establish training programs to provide adequate skills to allow current and future members of the labor force to find jobs.

Objective: To establish Work Force Development programs for the metropolitan area.

2013 Activities to Address Need: None

### **ED Direct Financial Assistance to For-Profit**

Need Level: High

Statement of Need: Although larger firms typically have sufficient resources and access to capital to fund their expansion and growth needs on a long term permanent basis, there often exists a short term gap between the origination of a project and the finalization of long term permanent financing.

Jefferson County has the ability to provide short term, CD Float loans, financing at a very attractive interest rate to financially secure firms with a financial need and requirement for interim funding. Float Loan Selection Criteria: 1. Cost per job; 2. Number of jobs; 3. Type of jobs; and 4. Relative job income expected.

Jefferson County manages an Economic Business Loan program that provides low interest loan of up to \$350,000 to businesses for expansion. Loans are provided on a county-wide basis, with the goal of creating new jobs for low- and moderate-income residents of the County. There is a significant need for loans of this type that are frequently considered higher risk by conventional lending institutions. The chief obstacles to assisting businesses with a program of this type is limited capital for loans and a default rate that necessarily is above the conventional business loan default rate.

Goal: To provide a mechanism for financially sound firms with a temporary short fall in the availability of short term financing until long term, permanent financing can be put in place.

2013 Activities to Address Need: None

Estimated Cost to Address Need: \$1-\$2 Million.

**ED Technical Assistance**

Need Level: High

Statement of Need: Established businesses have little need for technical assistance or have the funds to contract for this assistance as needed. The clients targeted by the available economic development programs however, are by and large smaller firms who lack either the sophistication and financial ability to acquire the required assistance. Thus technical assistance offered through this office is aimed at empowering small business with the knowledge and abilities to increase their possibility and probability for economic survival.

Goal & Objective: To provide technical assistance, where necessary, to businesses and organizations who wish to expand or relocate within the Jefferson County Consortium area.

2013 Activities to Address Need: None

Estimated Cost to Address Need: \$50,000

**Micro-Enterprise Assistance**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

**Infrastructure**

**Flood Drain Improvements**

Need Level: High

Statement of Need: Storm water management is an on-going need in Jefferson County. The relatively high level of rainfall experienced in this area of the United States, along with its high concentration in violent storms, makes storm water management a higher concern than in many areas. Deterioration of storm water systems requires frequent maintenance, reconstruction and new development tends to increase the intensity of runoff, making systems that previously were adequate, inadequate.

Goal: Continue to develop and improve storm water systems in areas that affect low- and moderate-income citizens of Jefferson County on an as needed basis, while coordinating activities with the County's Roads & Transportation Department.

2013 Activities to Address Need: None



### **Water/Sewer Improvements**

Need Level: High

Statement of Need: Much of the population of Jefferson County is served by large water systems, however, most of that population is in larger cities. There are large portions of the County, particularly the western area of the County, that are not served by municipal water systems. Families in these areas, including a high proportion of low- and moderate-income families, depend upon wells or small rural water systems for a water source. Wells in many areas have been failing in recent years and are increasingly susceptible to contamination. The primary obstacle to the provision of treated water is the lack of water systems with the capacity to serve certain rural areas and the extreme cost per unit of providing water in areas where houses are not close to one another.

Goal: Provide water to those with health risks.

Objective: To provide safe drinking water to all areas of Jefferson County with bad well water, failing wells, or failing water systems.

2013 Activities to Address Need: There are no plans to address water/sewer issues in the 2013 One-Year Action Plan.

### **Street Improvements**

Need Level: High

Statement of Need: In public hearings there were requests for the improvement of streets, particularly in terms of safety and in terms of vehicle conflict with pedestrians. Many rural areas of the County are being subjected to increased traffic as the County continues to develop, and many longstanding vehicle safety problems, particularly in low- and moderate-income areas of the County, have not been addressed. Conflicts where there are schools, parks, or public facilities increase where there is a higher concentration of lower income residents, as there is frequently a greater number of pedestrians seeking to use these facilities.

The primary obstacle to meeting the needs for street improvements is a lack of funding and the difficulty in assigning benefit for this type of project.

Goal: To provide street improvements in areas of Jefferson County that are low to moderate income. To work with the Jefferson County Department of Roads & Transportation to coordinate other needs.

Objective: To have better, safer streets in Jefferson County, Alabama.

2013 Activities to Address Need: None

### **Sidewalks**

Need Level: High

**Statement of Need:** In public hearings there were requests for the improvement of streets and the construction of sidewalks, particularly to resolve vehicle conflicts with pedestrians. Conflicts where there are schools, parks or public facilities increase where there is a higher concentration of lower income residents, as there is frequently a greater number of pedestrians seeking to use these facilities.

The chief obstacle to meeting the need for sidewalk improvements in low- and moderate-income areas of the County is a lack of funding.

**Goal:** To provide sidewalks in low- and moderate-income areas, particularly where senior citizens must walk to the store, Post Office, or where there will be high concentrations of children using facilities such as schools, parks and libraries.

**Objective:** Jefferson County expects to address sidewalk improvements throughout the County.

**2013 Activities to Address Need:** Rosedale Sidewalks Phase III and Fairfield Sidewalks

Estimated cost: \$352,000

### **Tree Planting**

**Need Level:** Low

**Statement of Need:** At this point, all request for trees have been for beautification purposes.

**Goal:** None

**Objective:** None.

**2013 Activities to Address Need:** None.

### **Removal of Architectural Barriers**

**Need Level:** High

**Statement of Need:** Since the passage of the Americans with Disabilities Act there has been progress in making buildings, homes and recreational facilities accessible to the handicapped, but there are still many public and private buildings and public facilities that have not yet been made accessible. There are also a significant number of citizens who are handicapped who live in housing that is not designed to be fully accessible.

**Goal:** To provide opportunities for handicapped individuals to live independently and to assist them so that they can take advantage of employment opportunities.

**Objective:** Jefferson County would like to see all municipal facilities made accessible. During the next five years, a special emphasis will be made to insure that municipal buildings in the western area of the county are handicap accessible.

**2013 Activities to Address Need:** None.

**Privately Owned Utilities**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

**Planning & Administration**

Need Level: High

Statement of Need: Jefferson County is involved in an on-going planning process to assess the need for development and/or improvements of community facilities, infrastructure, housing opportunities, and homelessness. These issues are explored as a part of the administration of the various grant programs. Also, the program activities and projects are monitored to ensure compliance.

Goal: It is the goal of Jefferson County to adequately plan and administer the activities associated with the various grant programs.

Objective: Jefferson County will administer programs for the benefit of low/low-moderate income persons and homeless persons.

Total Estimated Cost to Address: \$346,008.00 in General Administration, \$100,000.00 in Housing Program Delivery, and \$8982.98 in Emergency Solutions Grant Administration.

**Public Facilities**

**Public Facilities and Improvements**

Need Level: High

Statement of Need: Improvements to public facilities.

Goal: To assist consortium members with the upgrading of their public facilities.

Objective: Study the special needs of our consortium members.

2013 Activities to Address Need: None

**Handicapped Centers**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

**Neighborhood Facilities**

Need Level: High

Statement of Need: There is a continuing need for the development of multi-purpose Community Centers to serve the needs of small unincorporated neighborhoods as well as senior citizens' center to service the needs of Jefferson County's senior citizens. The Jefferson County Consortium is largely sparsely populated and there is little need for dedicated community facilities, such as youth centers, which would serve a large urban population concentration. However, the many communities of the County with smaller populations have a continuing need for Community Centers in the neighborhoods to provide gathering places, to sponsor youth activities, and to meet the needs of seniors. Many communities have no central focus and a community center would provide for this need.

Goal: To renovate or construct community centers in Jefferson County, Alabama.

Objective: Address center needs as they arise.

2013 Activities to Address Need: None.

**Parks, Recreational Facilities**

Need Level: High

Statement of Need: There is an on-going need for parks and recreation facilities throughout the County. Many of the recreation needs of neighborhoods in the County are met by community groups who provide for programs, maintenance, and supervision of recreation programs and park facilities. These groups require assistance in the development of parks, as this is frequently beyond the means of low- and moderate-income neighborhoods. Comments at public hearings are frequently addressing the need for neighborhood based community recreation facilities.

A major obstacle to the development of park and recreation facilities is the lack of funding for a county-wide entity to manage parks and to run recreating programs. County parks depend upon the communities they serve to provide the volunteers necessary to run recreation programs and to perform park maintenance. The acquisition of available land is often difficult to impossible due to the fact that the majority of unimproved land is owned by major corporations.

Goal: The development of new neighborhood parks and the upgrading of parks in neighborhoods throughout the County.

Objective: Jefferson County will address other park needs as they arise.

2013 Activities to Address Need: Brighton Mini Park, \$181,000; and New Castle Park, \$181,000.

### **Parking Facilities**

Need Level: NSN

Statement of Need: There is no identified need for dedicated parking facilities, except where those facilities are incidental to other types of project development. The Jefferson County Consortium is an urban county, and the need for independent parking facilities is greater in more heavily urbanized central cities.

Goal: None

Objective: None

2013 Activities to Address Need: None

### **Solid Waste Disposal Improvements**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

### **Fire Stations/Equipment**

Need Level: High

Statement of Need: Fire prevention and protection services in Jefferson County are met by individual municipalities or designated fire districts. Many of them are administered and operated by volunteers. Fire departments receive funding from private, local, state, and federal sources. The County has received requests to assist with the renovations and expansions of fire stations. At present there appears to be a need to assist Fire Stations and the County will consider funding the requests.

Goal: Provide funding for fire stations in the low- to moderate-income areas throughout Jefferson County.

Objective: Construct and/or rehabilitate one fire station in Jefferson County.

2013 Activities to Address Need: North John's Fire Station Improvements, \$181,000

### **Health Facilities**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

**Asbestos Removal**

Need Level: NSN

Statement of Need: NSN

Goal: The County will address this problem on an individual basis.

Objective: Same as above.

2013 Activities to Address Need: None

**Clean-up of Contaminated Sites**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

**Interim Assistance**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

**Non-Residential Historic Preservation**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

## **Public Services**

### **Public Services (General)**

Need Level: High

Statement of Need: Jefferson County has concern for the needs of its citizens. The amount of funding and expertise to address the population of Jefferson County cannot be addressed by this single entity. Additional entities are required to address the public needs such as education, employment, crime prevention, child care, health, drug abuse, and fair housing counseling.

Goal: Jefferson County may allocate up to fifteen percent of its CDBG funding, annually for public services.

Objective: Same as above.

2013 Activities to Address Need: Fair Housing Counseling, \$25,000; and The People's Development Center, \$10,000

### **Handicapped Services**

Need Level: Low

Statement of Need: There is a great need to assist the handicapped population of Jefferson County with services that enable them to live independently and to move into the mainstream of society. The Vocational Resources Center is a service agency providing training and job referrals for handicapped persons. The County has funded this organization in the past.

Goal: The primary obstacle in meeting the needs of the handicapped population of Jefferson County is a lack of funding.

Objective: Review each funding request.

2013 Activities to Address Need: None.

### **Legal Services**

Need Level: Low

Statement of Need: There is a need throughout Jefferson County for quality legal services to be made available to indigent residents. It is unfortunate that such services are not sought until the crisis point has been reached and possibly could have been rectified easily at an earlier juncture. In the past, it was only indigent individuals in the penal system who were afforded legal assistance.

Goal: None

Objective: None

2013 Activities to Address Need: None

**Transportation Services**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

**Substance Abuse Services**

Need Level: High

Statement of Need: Jefferson County has received requests to assist with substance abuse services homeless programs.

Goal: To accept applications from non-profits for this category of need as they arise.

Objective: To review qualified applicants for providing services to substance abuse clients.

2013 Activities to Address Need: None

**Employment Training**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

**Health Services**

Need Level: Low

Statement of Need: Health Service needs in Jefferson County are met by the Jefferson County Health Department and its clinics, the Cooper Green Hospital operated by Jefferson County, and a number of private agencies, hospitals, and non-profit organizations. These are funded by a number of government and private sources and are generally adequate for meeting the health care needs of the low- and moderate-income citizens of the County.

Goal: None

Objective: When a demonstrated need for the County to provide funding for health services arises, the County will consider funding in this area

2013 Activities to Address Need: None



**Mental Health Service**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

**Screening for Lead-Based Paint/Lead Hazard**

Need Level: Low

Statement of Need: These activities are covered under Housing Programs.

Goal: None

Objective: None

2013 Activities to Address Need: None

**Senior Programs**

**Senior Centers**

Need Level: High

Statement of Need: Jefferson County has currently reached its capacity for senior programs with the funding available. The primary obstacle to meeting the need for Senior Facilities is a lack of permanent funding for the programs of the Centers.

Goal: To construct or renovate senior citizens' centers as the needs arise.

Objective: Renovation of existing Senior Facilities, including bringing Centers into compliance with ADA and continued investigation of requests. The construction of needed senior centers.

2013 Activities to Address Need: None.

**Senior Services**

Need Level: High

Statement of Need: In areas not having senior center facilities, the need for senior programs is essential and transportation to those facilities.

Goal: Provide funding for senior programs.

Objective: Study the growing populations in all areas of the County.



2013 Activities to Address Need: None

Estimated Cost to Address: None

### **Youth Programs**

Jefferson County is an Urban County CDBG Entitlement entity and there is little need for youth centers in Jefferson County per se. This need is taken care of in multi-purpose centers such as Community Centers in the incorporated areas of the County. Jefferson County is an urban county whose consortium consists of 30 municipalities. Each municipality has facilities and programs specifically for their youths to participate in. Since the major urban cities of Birmingham, Bessemer, and Hoover are not included in the Jefferson County Consortium, there are few population concentrations that would justify the construction of single-purpose youth centers.

#### **Youth Centers**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

#### **Child Care Centers**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

#### **Abused and Neglected Children Facilities**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

#### **Youth Services**

Need Level: High

Statement of Need: Low- and moderate-income families with working parents frequently do not have the resources to provide youth services for their children; these children need access to adequate and structured youth programs.

Goal: Jefferson County will continue to support existing programs that provide services for the development and protection of its young people.

Objective: To fund youth programs in a low- to moderate- income area within the Jefferson County Consortium.

2013 Activities to Address Need: None

### **Child Care Services**

Need Level: High

Statement of Need: There is a continuing need for services to youth in the County. Low- and moderate-income families with working parents frequently do not have the resources to provide child care and after school care for their children. The children in these families need access to adequate supervision and structured programs.

Goal: The County is engaged in ongoing efforts to assist with the provision of these services when funding permits.

Objective: To fund these programs as the need arises.

2013 Activities to Address Need: None

### **Abused and Neglected Children**

Need Level: NSN

Statement of Need: NSN

Goal: None

Objective: None

2013 Activities to Address Need: None

### **Other**

#### **Clearance**

Need Level: Low

Statement of Need: Jefferson County, Alabama provides many basic services to the members of its Community Development Consortium. One of the greatest problems is the absentee landlord's lack of responsibility for its slum owned structures and over grown real estate.

Goal: None

Objective: None

**Urban Renewal Completion**

Need Level: NSN

Statement of Need: NSN

Goal: NSN

Objective: NSN

**CDBG Non-Profit Organization Capacity Building**

Need Level: Low

Statement of Need: Jefferson County, Alabama provides funding for many services, programs and activities, to the members of its Community Development Consortium. Some consortium members are municipalities and others are unincorporated; those receiving funding are called "sub-recipients." Jefferson County (recipient) is responsible for ensuring that CDBG funds are used by the sub-recipients in a manner consistent with the CDBG requirements and other applicable Federal, State or local law. It has been demonstrated in the past that for unincorporated sub-recipients, the best way for Jefferson County to protect itself from liability is to affiliate solely with non-profit corporations.

Goal: Jefferson County will continue to provide technical assistance to any entity that is pursuing a non-profit corporation status. Over the next five years, Jefferson County will host workshops and disseminate information pertaining to the status of a non-profit corporation.

Objective: During the 2010-2014 Program Years, Jefferson County will continue to offer technical assistance on a case by case basis.

2013 Activities to Address Need: None

**CDBG Assistance to Institutes of Higher Education**

Need Level: Low

Statement of Need: The County provides technical assistance to four and two year colleges located in Jefferson County. As new initiatives surface in the general areas of Community Development and workforce training the various schools are encouraged and provided assistance in applying for participation.

Goal: Jefferson County has helped Jefferson State Community College and Bessemer State Technical College become involved in the Workforce Investment Program. Jefferson County has also helped the University of Alabama at Birmingham to apply for HUD's Healthy Homes Program. Jefferson County intends to provide this type of assistance as needed.

Objective: Two of the two year colleges located in Jefferson County will become one stop centers in the U.S. Dept. of Labor's Workforce Investment Program. Jefferson State Community College received a CDBG Training Grant of \$100,000 for workforce training.

2013 Activities to Address Need: Activities will be assigned as the need addresses.

### **Repayments of Section 108 Loan Principal**

Need Level: Low

Statement of Need: Jefferson County has several business assistance programs available to assist in financing small businesses with marginal credit worthiness. However, no program is available to assist larger businesses with marginal credit or little or no track record.

Goal: The Jefferson County Commission has authorized the utilization of the HUD Section 108 Loan Guarantee Program to entice businesses to locate or expand in Jefferson County.

Objective: Make approximately \$3,000,000 of Section 108 Loans to credit worthy clients and establish a repayment program that keeps exposure to the annual CDBG allocation to a minimum.

2013 Activities to Address Need: None

### **Unprogrammed Funds**

Need Level: Low

Statement of Need: Sometimes there is a need to have contingency funds if an approved project exceeds its budget or if an urgent need arises.

Goal: Our goal is to budget all available funds. However, if additional funds become available, it may be necessary to budget them as unprogrammed funds until a need is established.

Objective: Jefferson County's objective for unprogrammed funds is to have funds available for approved projects that exceed budget amount.

2013 Activities to Address Need: None

### **Programs for Victims of Domestic Violence, Date Rape and Abuse**

Need Level: High

Statement of Need: According to the Alabama Coalition Against Domestic Violence of the 19,486 violent offenses reported in 2005, 11% were domestic violence incidents. 77% of the victims were females, and 23% were males. 55% of the victims were black, and 45% were white. In 31% of domestic violence offenses the victim was the wife/ex-wife of the offender; in 45% the victim was the girlfriend/ex-girlfriend of the offender. There were 204 domestic violence rapes in 2005, representing 14% of the 1,515 rapes reported in Alabama for that year. The relationships of Victim and Offender where the victim was: wife 13%, Common-law Wife 3%, Ex-wife 4%, Girlfriend 52% and Ex-girlfriend 28%. The Alabama Coalition Against Domestic Violence also has listed 37 cases of rape reported for 2004 in Jefferson County, Alabama and 50 cases reported in 2005. By mid 2012 Jefferson County Family Court had reported 196 intakes of domestic violence.

Goal: To fund agencies who provide programs and services for victims of domestic violence, date rape, and abuse who are low- to moderate-income or homeless.

Objective: Jefferson County Plans to work with local service agencies, law enforcement, and others to address issues surrounding domestic violence, date rape, and abuse.

2013 Activities to Address Need: None

2. (i) Decent Housing: Jefferson County will work to provide a suitable living environment by improving the safety and livability of neighborhoods and increasing access to quality public and private facilities and services. All Housing Programs are available throughout the Jefferson County Community Development Consortium and may include concentrated efforts to revitalize deteriorating or deteriorated neighborhoods as well as efforts to increase spatial deconcentration of housing opportunities for persons in lower income groups.

Jefferson County also plans to provide decent housing by providing funds to assist agencies in providing services to those who are homeless and those who are at risk of becoming homeless through the Emergency Solutions Grant.

Short-term community development objectives: From PY 2013 Jefferson County plans to provide approximately \$95,000.00 in ESG funds for Emergency Shelter and Homeless Prevention activities.

Long-term community development objectives: Jefferson County will consult with local non-profit and for-profit agencies Jefferson County in efforts to continue to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of affordable housing by providing technical assistance, funding as available and through direct or indirect applications for additional funding from other public and private grant sources.

(ii) Create a Suitable Living Environment: From the 2013 program year, Jefferson County proposes activities that will create a suitable living environment primarily for low- and moderate-income persons by improving the safety and livability of neighborhoods and increasing access to quality public facilities.

Short-term community development objectives: The annual 2013 goal for this objective is to undergo the following five (5) activities: Fairfield and Rosedale sidewalk projects, Brighton Mini Park improvements, North Johns Fire Station improvements, and New Castle Park improvements.

Long-term community development objectives: Jefferson County hopes to continue its annual Open Season for the receipt of applications for community development activities that will contribute to the accomplishment of creating a suitable living environment primarily for low- and moderate-income persons within the Jefferson County Consortium area.

(iii) Expanding Economic Opportunities: Jefferson County does not propose any activities in the 2013 program year that will expand economic opportunities. Therefore, there are no short-term community development objectives for this goal. However it should be noted that since the first year of the 5-Year Consolidated Plan

(PY 2010), Jefferson County has expanded economic opportunities that created 46 jobs.

Long-term community development objectives: The Office of Community and Economic Development will continue to make loans to businesses from its EDA/CDBG Revolving Loan fund. Whether it is a new business or an expanding business, the program requires the business to reserve 51% of the jobs created to be held by persons who are predominately low and moderate income.

We will also continue to assure that our various contractors on our CDBG and HOME program work diligently to comply with the HUD Section 3 requirements to assure low and moderate income persons receive employment opportunities.

The Department will also continue to refer low and moderate income persons to our Workforce Development division where training opportunities in high demand fields can lead to good paying jobs.

### **Antipoverty Strategy**

1. Describe the actions that will take place during the next year to reduce the number of poverty level families.

Program Year 4 Action Plan Antipoverty Strategy response:

See Five Year Plan Strategy for greater detail. No activities are planned in the 2013 Program Year.

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## **NON-HOMELESS SPECIAL NEEDS HOUSING**

### **Non-homeless Special Needs (91.220 (c) and (e))**

\*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 4 Action Plan Specific Objectives response:

1. Priority #1. Jefferson County further proposes to complete 20 units of Special Needs Rental Housing for the Elderly/Frail Elderly already under contract.
2. Jefferson County will use HOME funds for to complete 20 units of Special Needs Rental Housing for the Elderly/Frail Elderly already under contract and has issued a commitment letter for an additional 40 units contingent upon the award of State HOME/LIHTC funds. Jefferson County will consider applying for additional funds as they become available.



3. Jefferson County does not propose any activities for other population categories, not listed above under the heading of "Non-Homeless Special Needs" during the 2013 Program Year.

## **Housing Opportunities for People with AIDS**

\*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Provide a Brief description of the organization, the area of service, the name of the program contacts, and a broad overview of the range/ type of housing activities to be done during the next year.
2. Report on the actions taken during the year that addressed the special needs of persons who are not homeless but require supportive housing, and assistance for persons who are homeless.
3. Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The evaluation can address any related program adjustments or future plans.
4. Report on annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.
5. Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.
6. Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.
7. Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.
8. Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.
9. Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.

Program Year 4 Action Plan HOPWA response:

Not applicable.

### **Specific HOPWA Objectives**

Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the Action Plan.

Program Year 4 Specific HOPWA Objectives response:

Not applicable.

### **Other Narrative**

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Include any Action Plan information that was not covered by a narrative in any other section.